

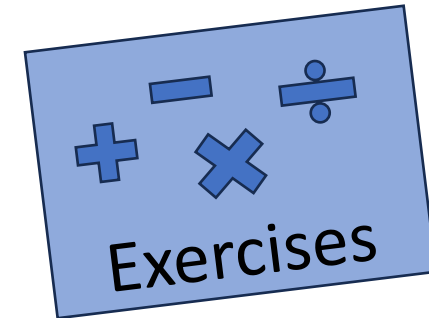
Grain Surface Processes

A person with blonde hair in a ponytail, wearing a yellow and black bat-patterned shirt, is seen from behind, looking out at a vast space scene. The scene is filled with numerous molecular models, primarily water molecules (H2O), rendered in red, white, and grey. Some molecules are larger and more prominent, while others are smaller and scattered. The background is a dark, starry space with a nebula-like structure on the right side. The overall atmosphere is scientific and artistic.

Thanja Lamberts
Groningen, 2024

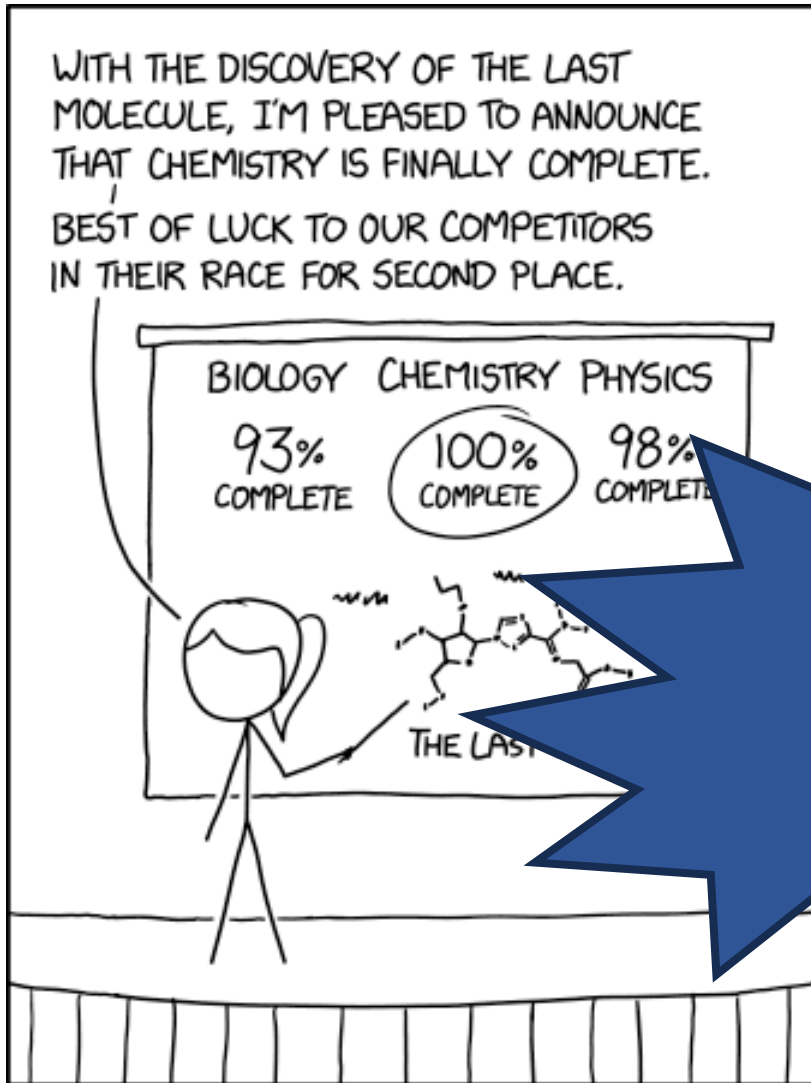
Lecture Overview

- Introduction Astrochemistry
- Surface processes & Timescales
 - Dissipation (3rd body)
 - Adsorption
 - Desorption
 - Diffusion
 - Reaction
 - Energetics of a chemical reaction
 - Surface reaction mechanisms
- Energetic Processing & Non-thermal Desorption

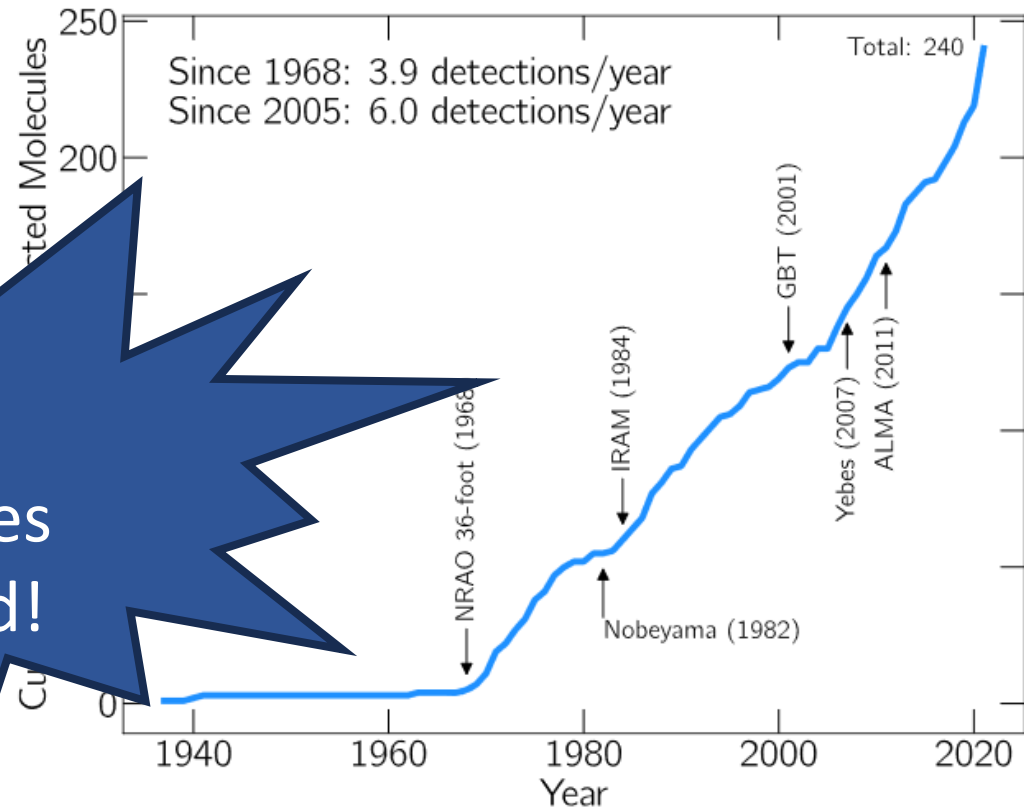


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> 320 molecules detected!



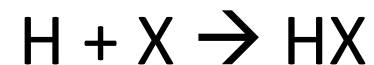
Drivers of chemistry

- Not in thermodynamic equilibrium
- Low density & low temperature: chemistry needs to be driven
 - Starlight
 - Cosmic rays
 - (Exothermic) grain chemistry
 - Gas hydrodynamics

Different types of molecules are observed, why?

- N_2H^+ ($\text{N}\equiv\text{N}-\text{H}^+$)
- HC_3N ($\text{H}-\text{C}\equiv\text{C}-\text{C}\equiv\text{N}$)
- C_2H
- $\text{C}\equiv\text{O}$
- H_2
- H_2O
- CH_4
- NH_3
- CH_3OH
- CO_2 ($\text{O}=\text{C}=\text{O}$)
- $\text{C}\equiv\text{O}$

High hydrogen content = saturation



Types of important gas-phase reactions

Two-body reactions with “two-body” products

Associative detachment

UV photoreactions

Dissociative recombination

Cosmic Ray ionization

Collisional dissociation

Cosmic Ray induced photoreactions

Ion-molecule reactions

Neutral-neutral reactions

Radiative association

Charge-transfer reactions

Ingredients: Radiation, Gas, and Dust

- Nucleates in the envelopes of cool stars, in novae and in supernovae
- The dust-to-gas ratio in our own Galaxy is $\sim 1:100$
- Size ranges from nm to μm
- Silicates and carbonaceous material (incl. metals, Mg, Fe, Si)
- Grains allow reactions of the form $A_{\text{ads}} + B_{\text{ads}} \rightarrow C_{\text{ads}}$ (e.g., H_2)

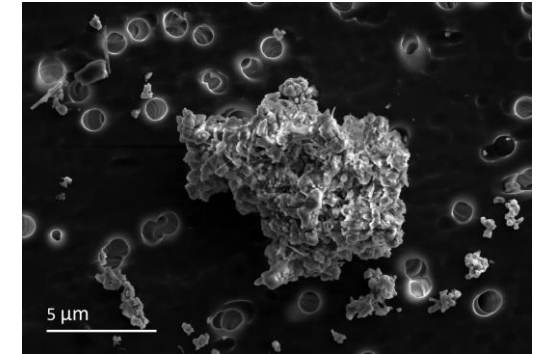
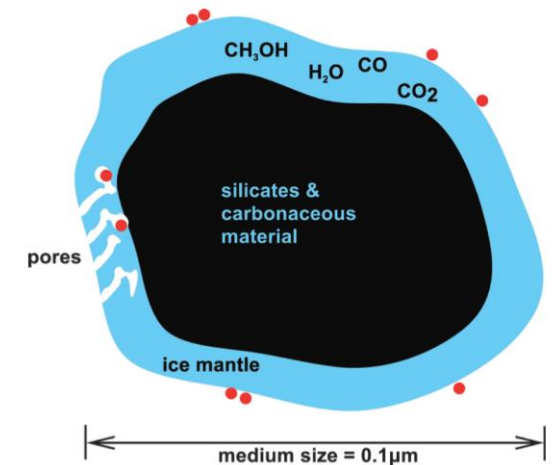


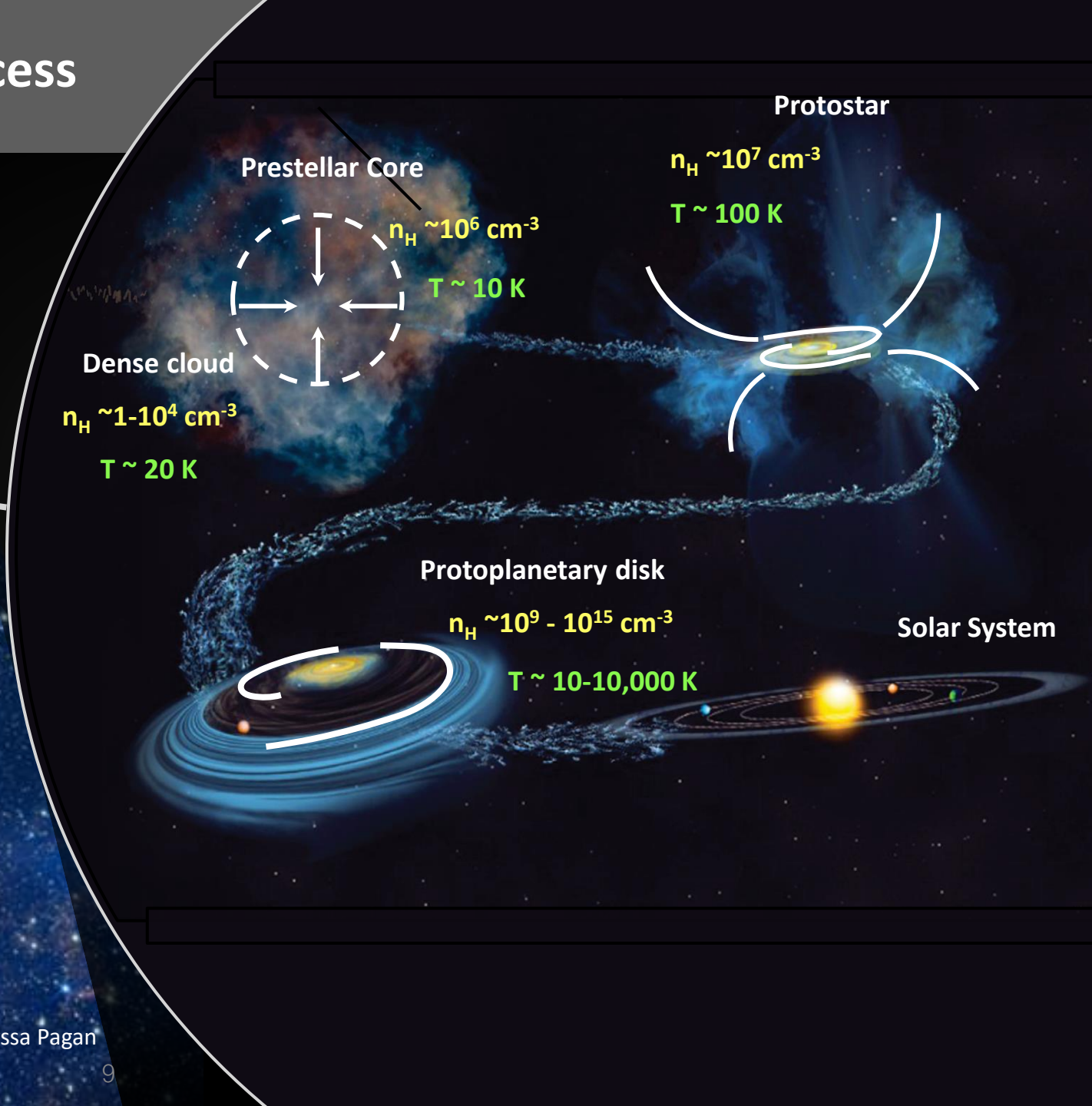
Figure courtesy:
Hope Ishii, University of Hawai'i



Karssemeijer et al. (2012)



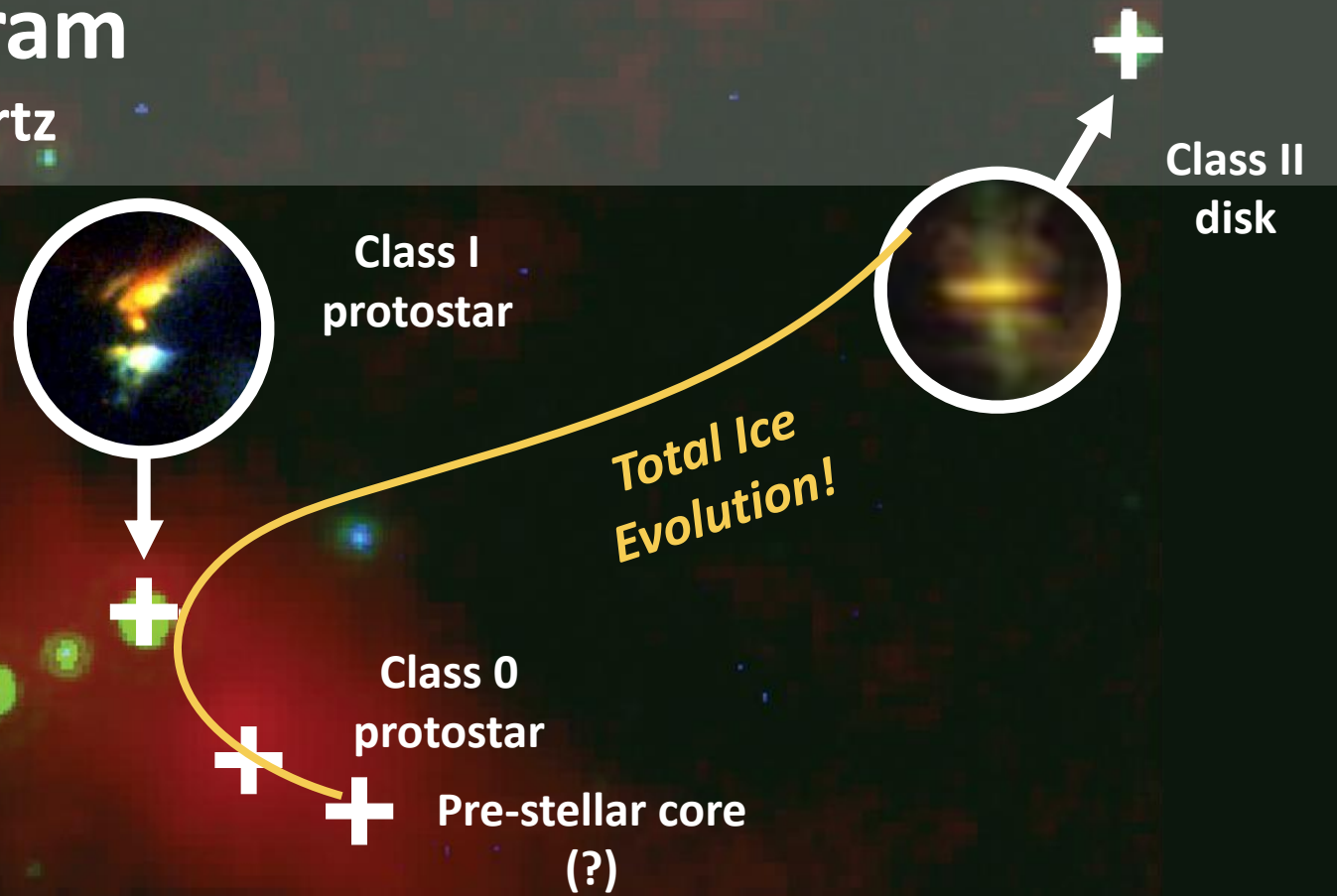
Ices and the star formation process





JWST IceAge ERS Program

PI McClure, co-PIs Boogert, Linnartz



Which (physical) conditions are important for surface chemistry to efficiently occur?

- Low temperature
- High density ($H/H_2 \ll 1$)
- UV-shielded (“high A_v ”)

Region	n_H (cm^{-3})	T (K)
Coronal gas	$< 10^{-2}$	5×10^5
HII regions	> 100	1×10^4
Diffuse gas	100-300	70
Molecular clouds	10^4	10
Pre-stellar cores	10^5 - 10^6	10-30
Star Forming Regions	10^7 - 10^8	100-300
Protoplanetary disks	10^4 (outer)- 10^{10} (inner)	10(outer)-500(inner)
Envelopes of Evolved stars	10^{10}	2000-3500

Strong lines at mm wavelengths, so-called low-J transitions

Sneak-peak at the molecular scales

- Introduction Astrochemistry

- Surface processes

- Dissociation

- Adsorption

- Diffusion

- Reaction

- Reaction mechanisms

- Energetic Processing & Non-thermal Desorption



Transition State Theory

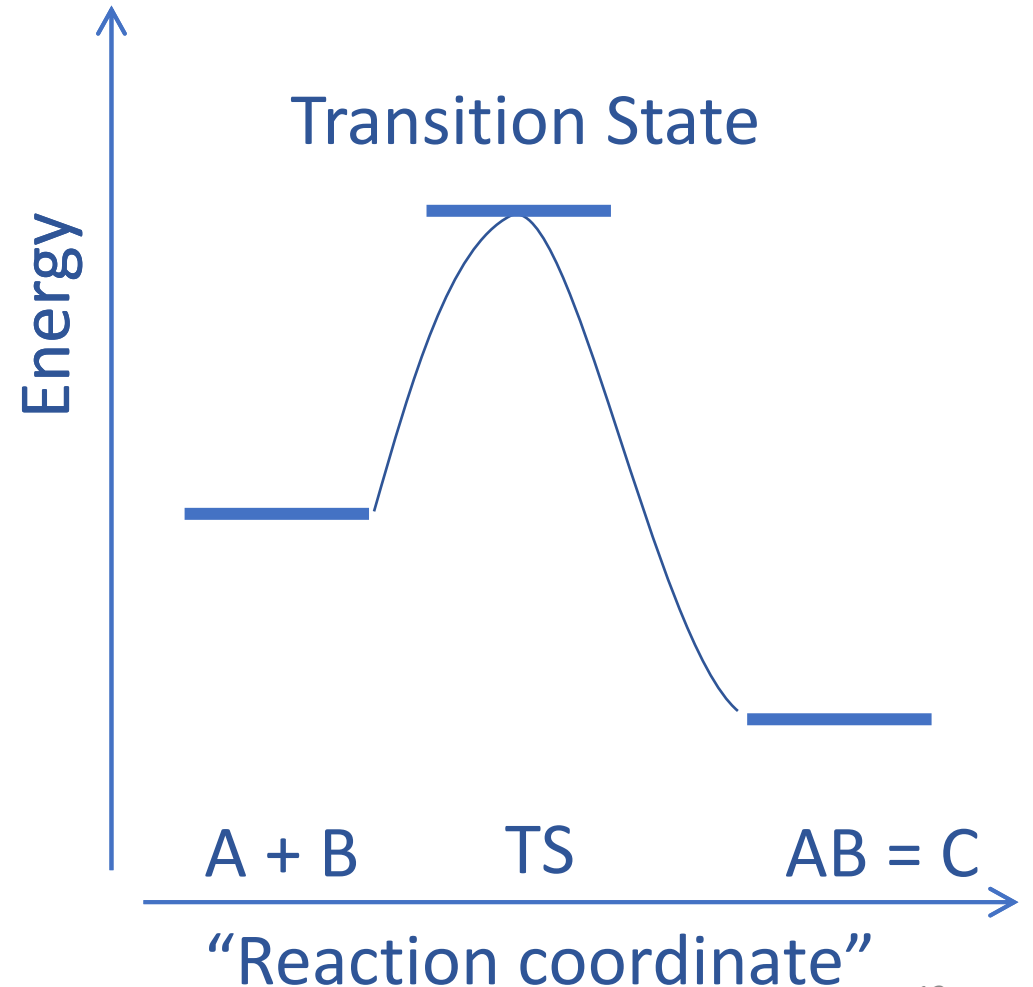
Reminder: Transition State Theory

$$\begin{aligned}\text{Reaction rate} &= \nu [\text{TS}] \\ &= k [\text{A}][\text{B}]\end{aligned}$$

$$\text{therefore } k = \nu \cdot \frac{[\text{TS}]}{[\text{A}][\text{B}]} = \nu K$$

$$\text{with (stat. therm.) } K = \frac{q}{q'_A q'_B}$$

$$\text{and } q' = \sum_i e^{-\epsilon_i/k_B T}$$



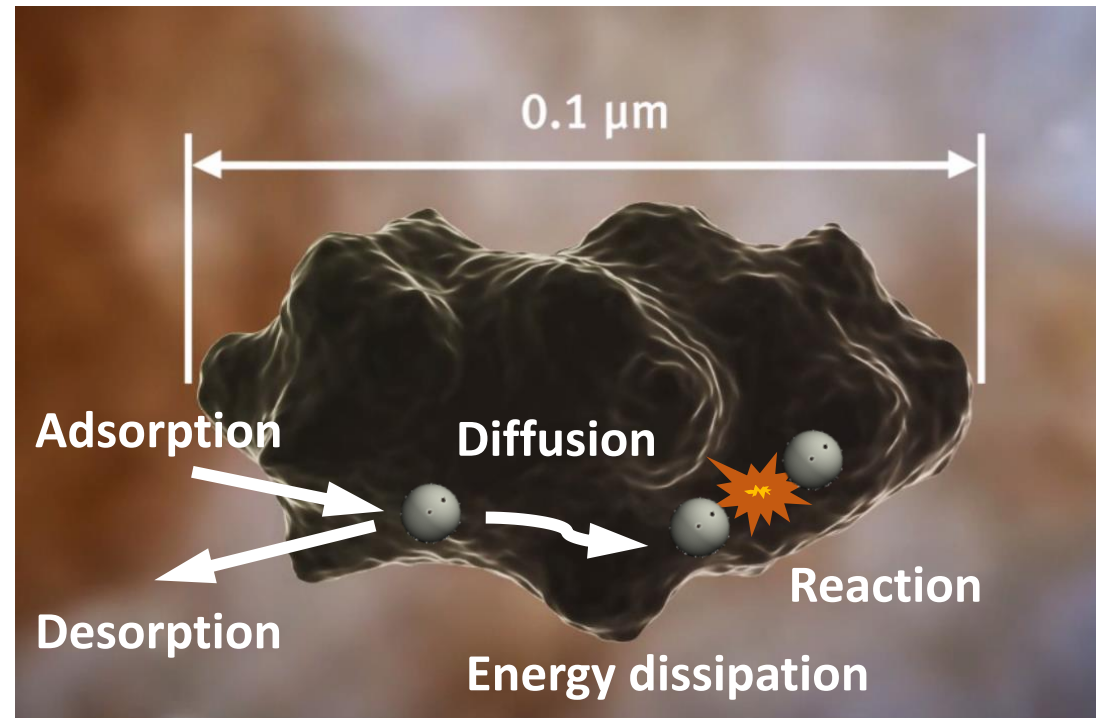
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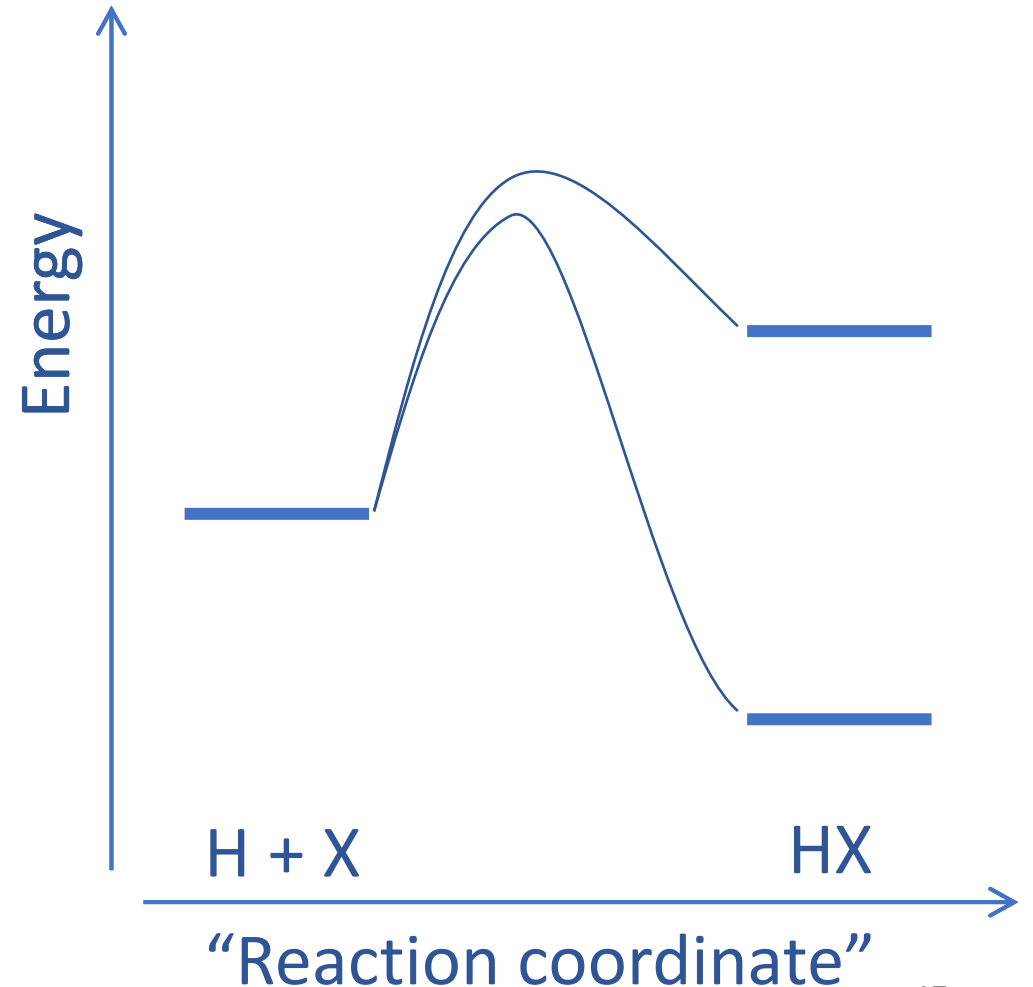
Movie courtesy: Ewine van Dishoeck & Thanja Lamberts, Background image: Hubble Space Telescope (Carina Nebula)

Overview of surface processes



Dissipation: excess energy

- At low T, a reaction should generate energy (exothermic, instead of endothermic)
- This needs to be dissipated:
3rd body = grain/ice
- Otherwise: radiative attachment = a slow process



Adsorption

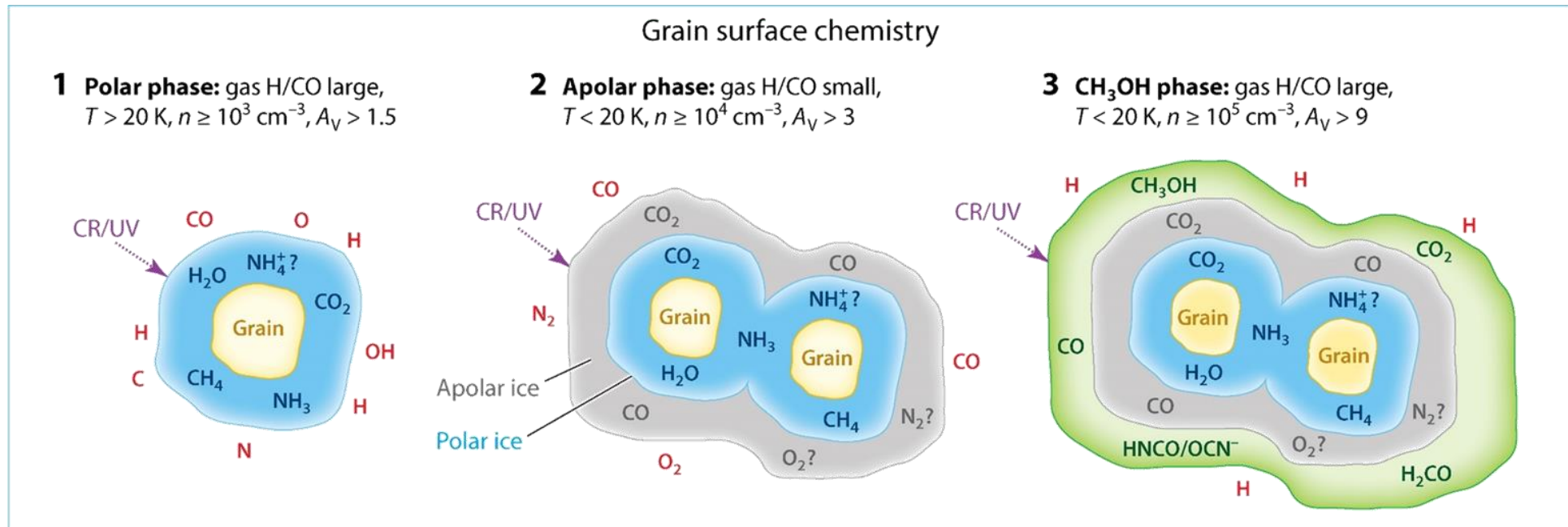
When an atom or molecule collides with a grain it “can” adsorb:

$$f_{acc,X} = S_X v_X n_{grain} \pi r^2 n_g(X) \quad \text{with} \quad v_X = \sqrt{\frac{8k_B T_{gas}}{\pi m_X}}$$

The diagram illustrates the physical meaning of the variables in the adsorption rate equation. The equation is $f_{acc,X} = S_X v_X n_{grain} \pi r^2 n_g(X)$ with $v_X = \sqrt{\frac{8k_B T_{gas}}{\pi m_X}}$. The variables are defined as follows:

- S_X : Sticking coefficient
- v_X : Gas-phase thermal velocity
- n_{grain} : Grain number density
- πr^2 : Average grain cross section
- $n_g(X)$: X number density
- k_B : Boltzmann constant
- T_{gas} : Gas-phase temperature
- m_X : Mass of X

Interstellar ices



Different ways of binding

Physisorption vs. chemisorption.

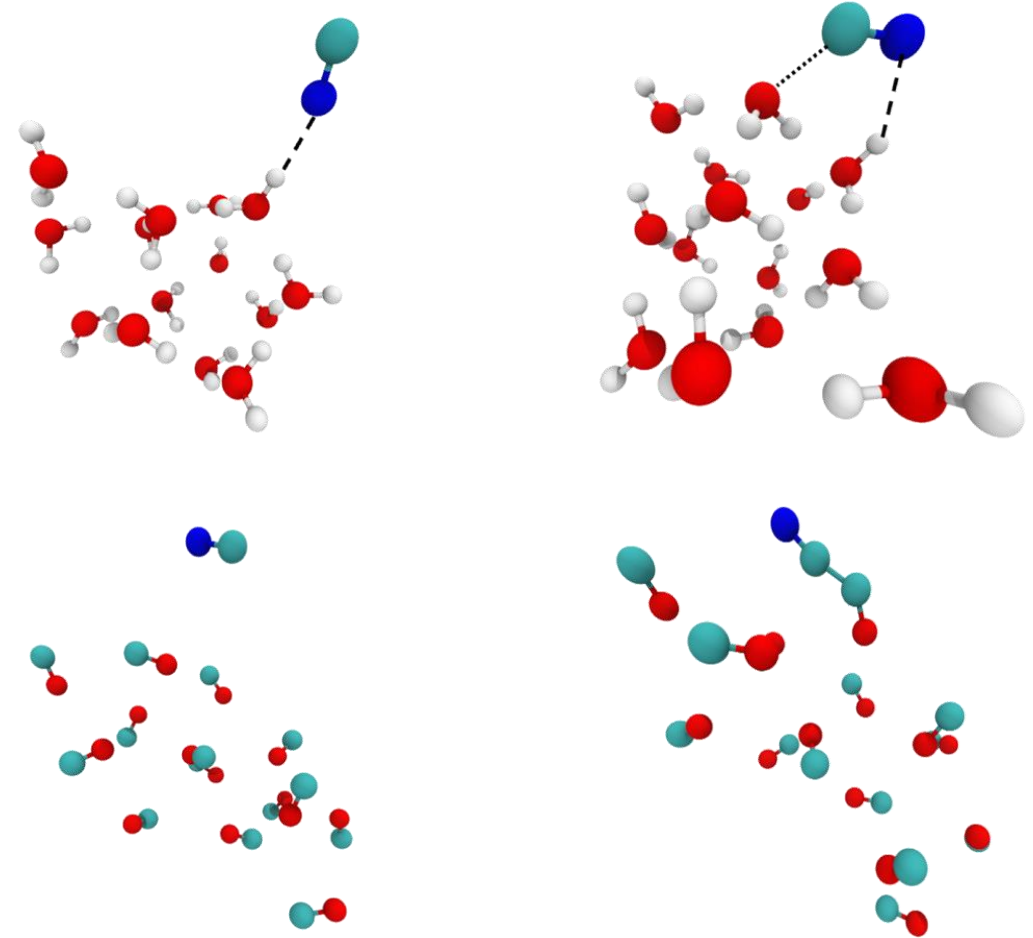
Van der Waals

Hydrogen bonded

Hemibonded

Covalently bonded

Ionic



Example: Van der Waals binding only

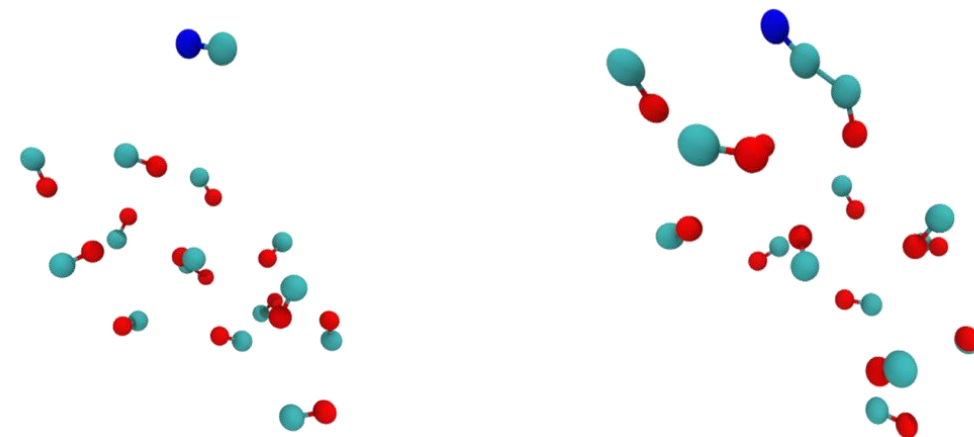
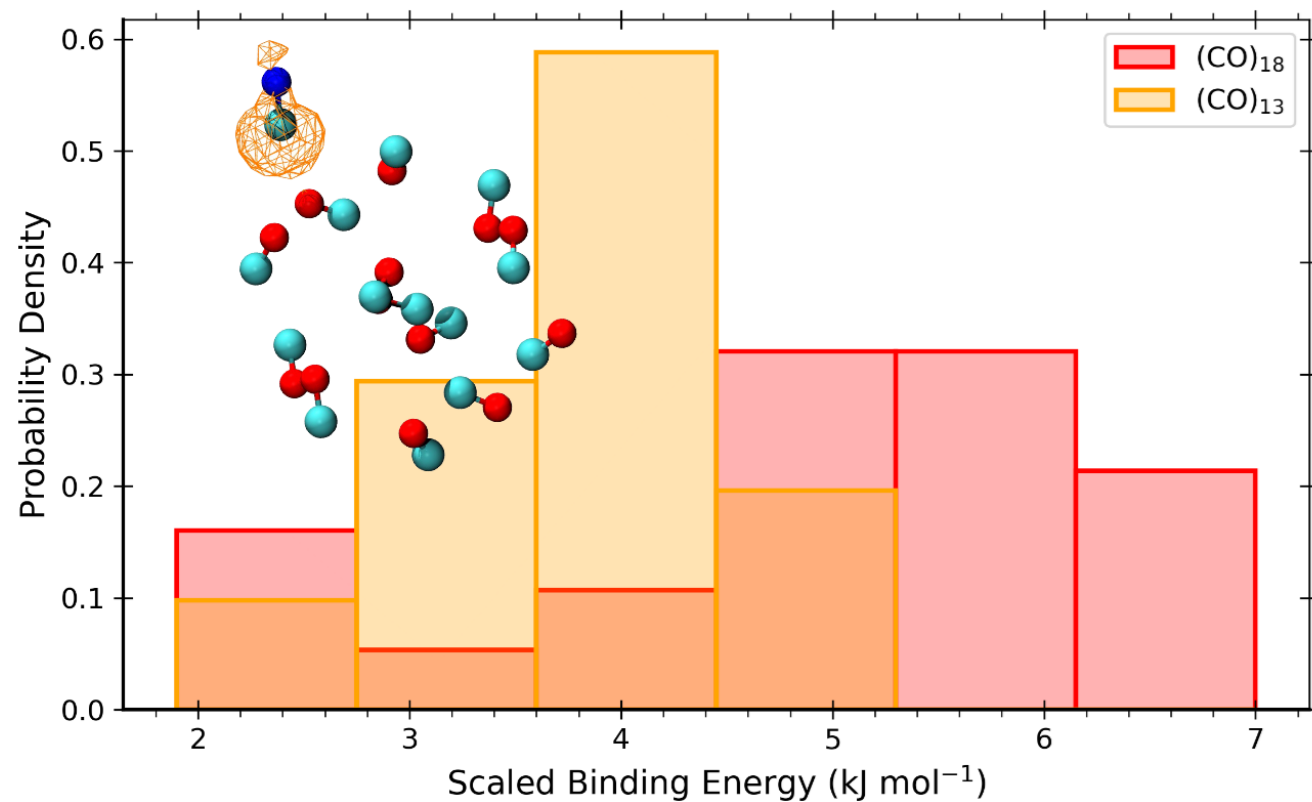
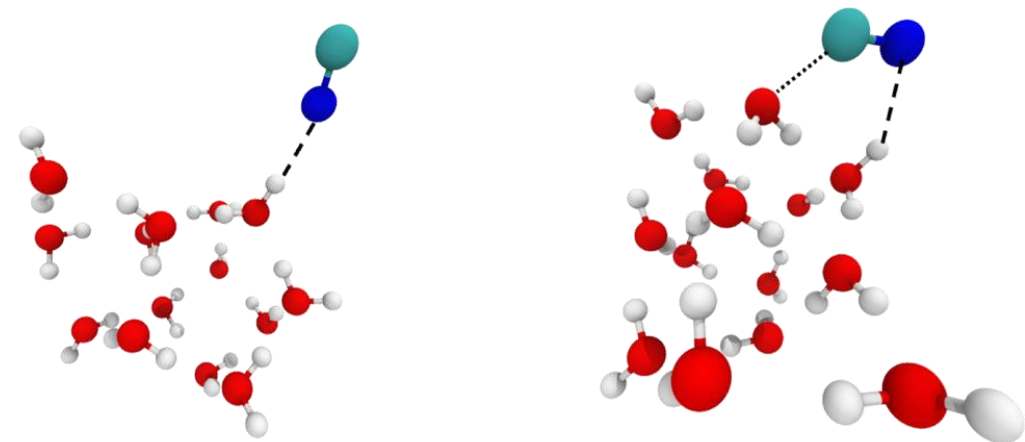
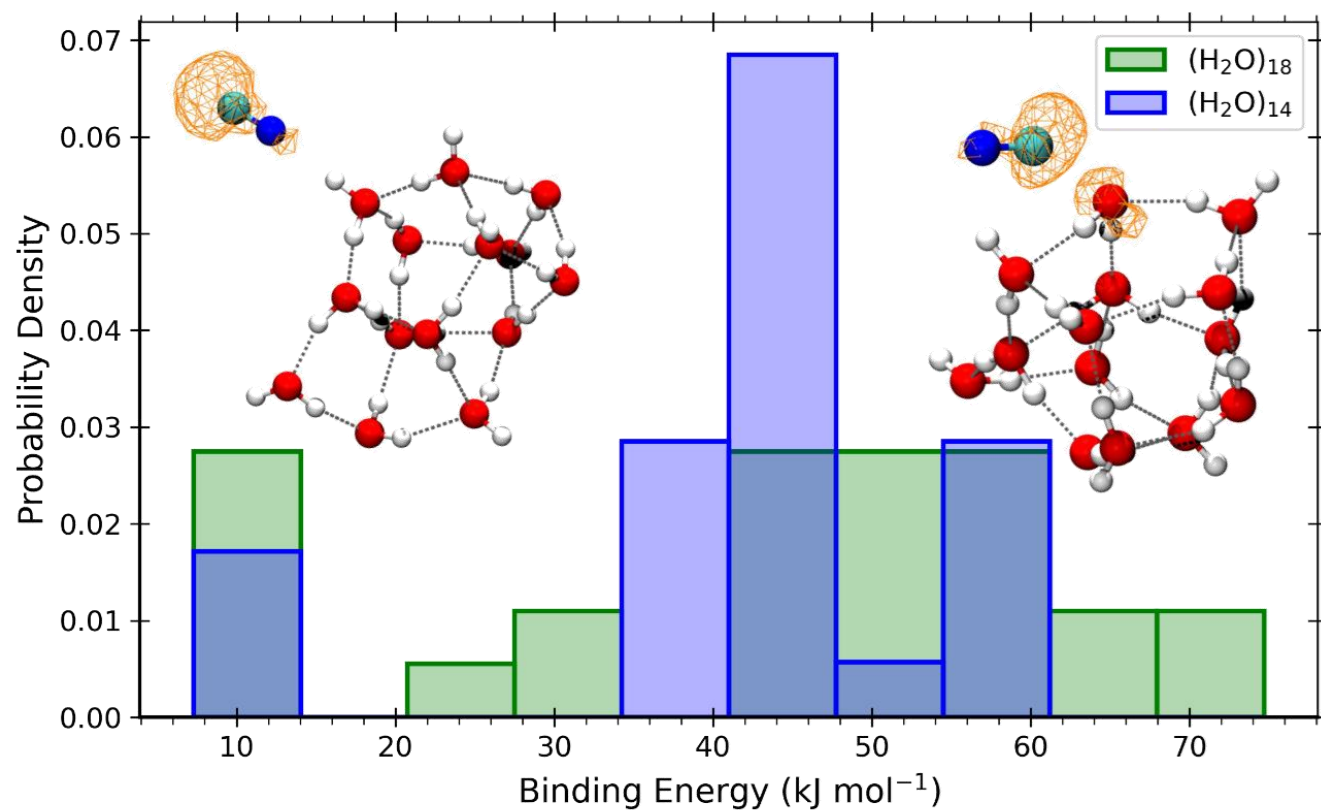


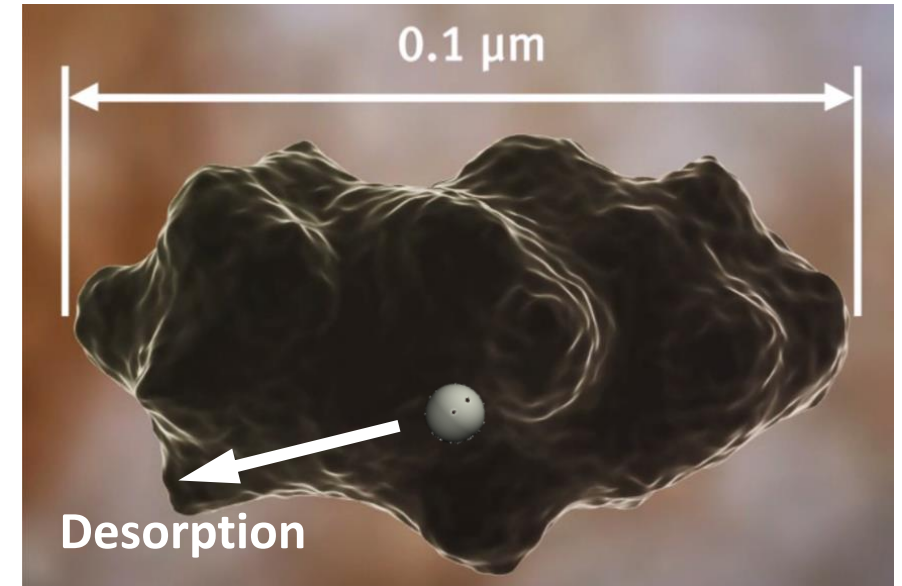
Figure courtesy: Joan Enrique-Romero

Example: Both hemi- and hydrogen bonding



Desorption

Opposite of adsorption: return of a species to the gas phase. Governed by interaction with the surface: Binding energy



$$f_{des,X} = k_{des,X} n_s(X) \quad \text{with:}$$

$$k_{des,X} = \nu_{trial} \exp\left(-\frac{E_{bind,X}}{k_B T}\right) \quad \text{and} \quad \nu_{trial} = \sqrt{\frac{2N_s E_{bind,X}}{\pi^2 m_X}}$$

Assumptions underlying typical formula

Transition state theory ☺

$$k = \nu \exp\left(-\frac{E_a}{k_B T}\right)$$

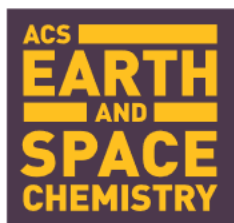
$$\nu_{\text{TST}} = \frac{k_B T}{h} \frac{q^\ddagger}{q_{\text{ads}}}$$

$$\nu_{\text{TST}} = \frac{k_B T}{h} q_{\text{tr},2\text{D}}^\ddagger q_{\text{rot},3\text{D}}^\ddagger$$

$$q_{\text{tr},2\text{D}}^\ddagger = \frac{A}{\Lambda^2}$$

$$q_{\text{rot},3\text{D}}^\ddagger = \frac{\sqrt{\pi}}{\sigma h^3} (8\pi^2 k_B T_{\text{peak}})^{3/2} \sqrt{I_x I_y I_z}$$

Up to 7 orders of magnitude difference!



<http://pubs.acs.org/journal/aescq>



Thermal Desorption of Interstellar Ices: A Review on the Controlling Parameters and Their Implications from Snowlines to Chemical Complexity

Marco Minissale,* Yuri Aikawa, Edwin Bergin, Mathieu Bertin, Wendy A. Brown, Stephanie Cazaux, Steven B. Charnley, Audrey Coutens, Herma M. Cuppen, Victoria Guzman, Harold Linnartz, Martin R. S. McCoustra, Albert Rimola, Johanna G.M. Schrauwen, Celine Toubin, Piero Ugliengo, Naoki Watanabe, Valentine Wakelam, and Francois Dulieu



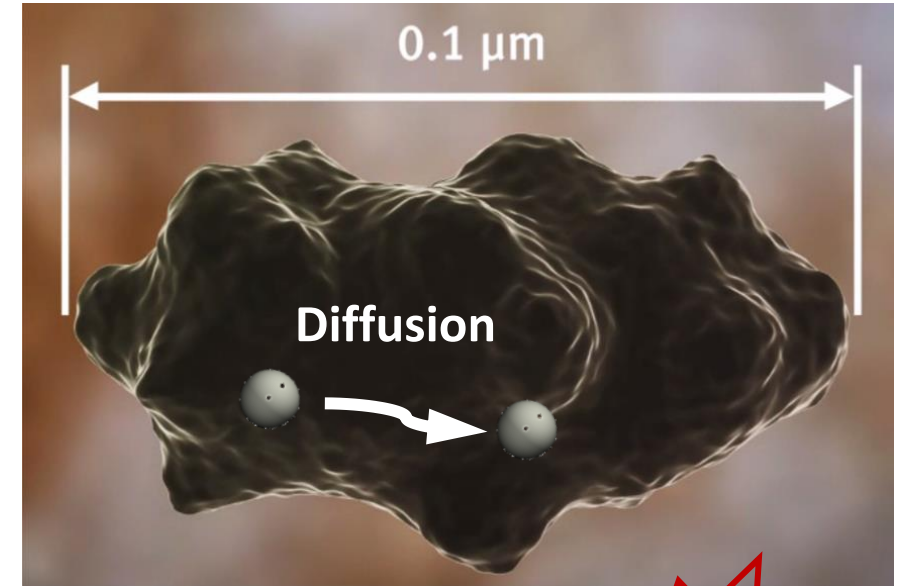
Cite This: *ACS Earth Space Chem.* 2022, 6, 597–630



Read Online

Diffusion

Movement across the surface allows reactants to meet. Governed by interaction with the surface: Diffusion Barrier



$$k_{diff,X} = \nu_{trial} \exp\left(-\frac{E_{diff,X}}{k_B T}\right) \text{ and } E_{diff,X} = \alpha \cdot E_{bind,X} \text{ with } \alpha < 1$$

Heavier/larger species, typically bind stronger, and diffuse slower.

Validity of the α factor



I Neural-network assisted study of nitrogen atom dynamics on amorphous solid water – II. Diffusion



Viktor Zaverkin , Germán Molpeceres  and Johannes Kästner ★

Computational studies need to include many degrees of freedom

- Nudged Elastic Band approach for locating TS
- Surface-coverage dependence of diffusion ($\alpha > 1$)

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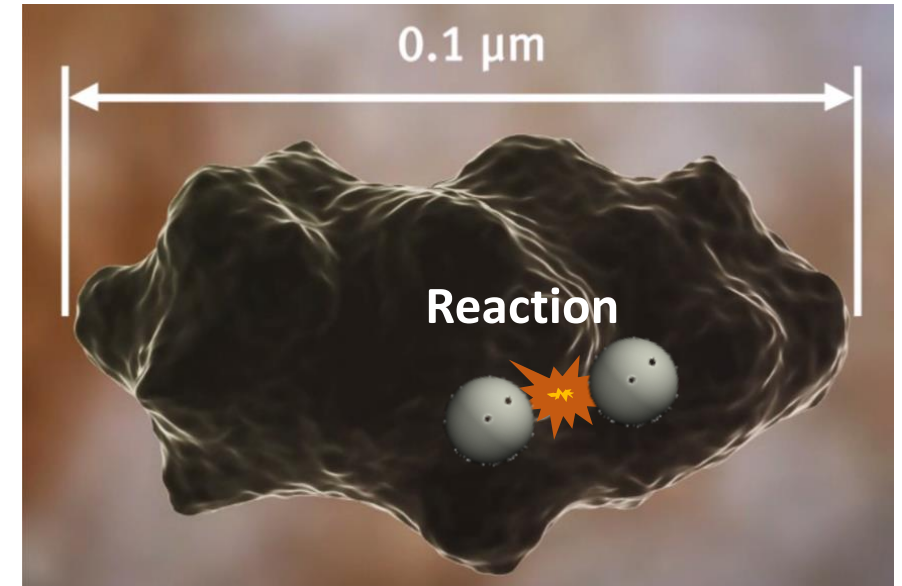
Reaction

When two reactants meet: possibility for a reaction to occur

Simplest and most abundant molecule is indeed formed on grain surfaces: H₂



Barrierless and efficient! Detected “abundantly” despite UV radiation in PDR’s. NB: gas-phase routes are only relevant in the early Universe and in shocked regions

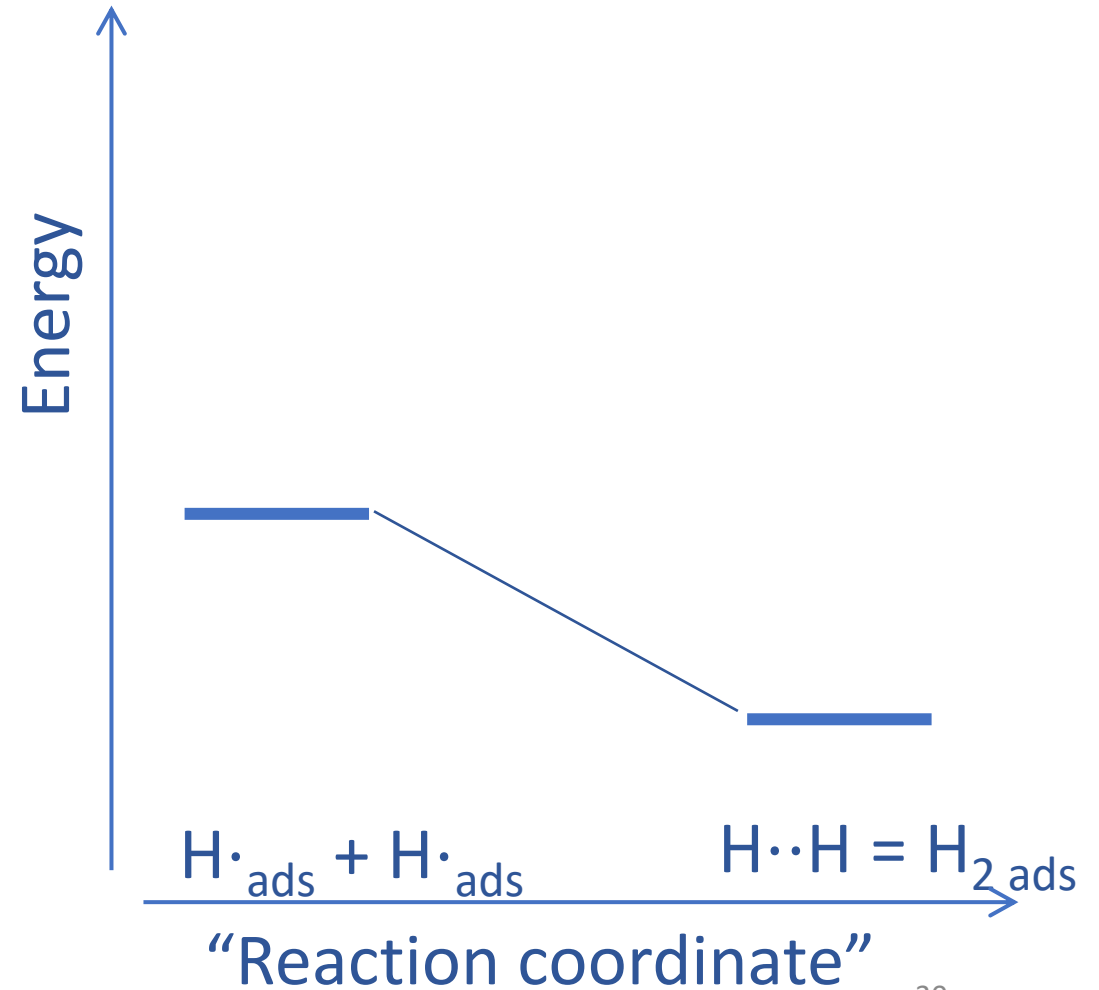


Reaction energetics: Radical-radical

“Electrons like to be paired”

Radical-radical reactions are barrierless (*)

(*) see exercises



Reaction energetics: Radical-neutral

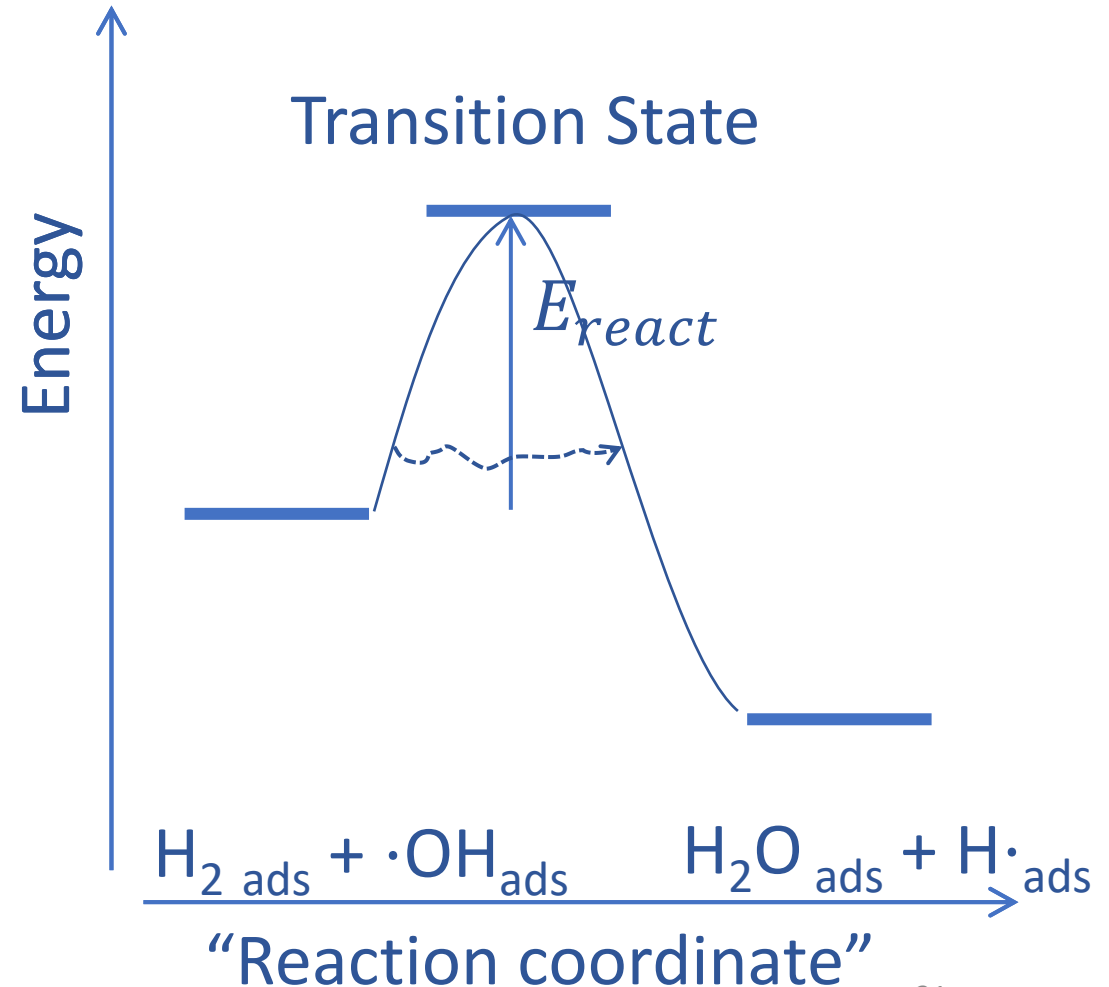
Usually a reaction with a barrier:

1. Thermally activated:

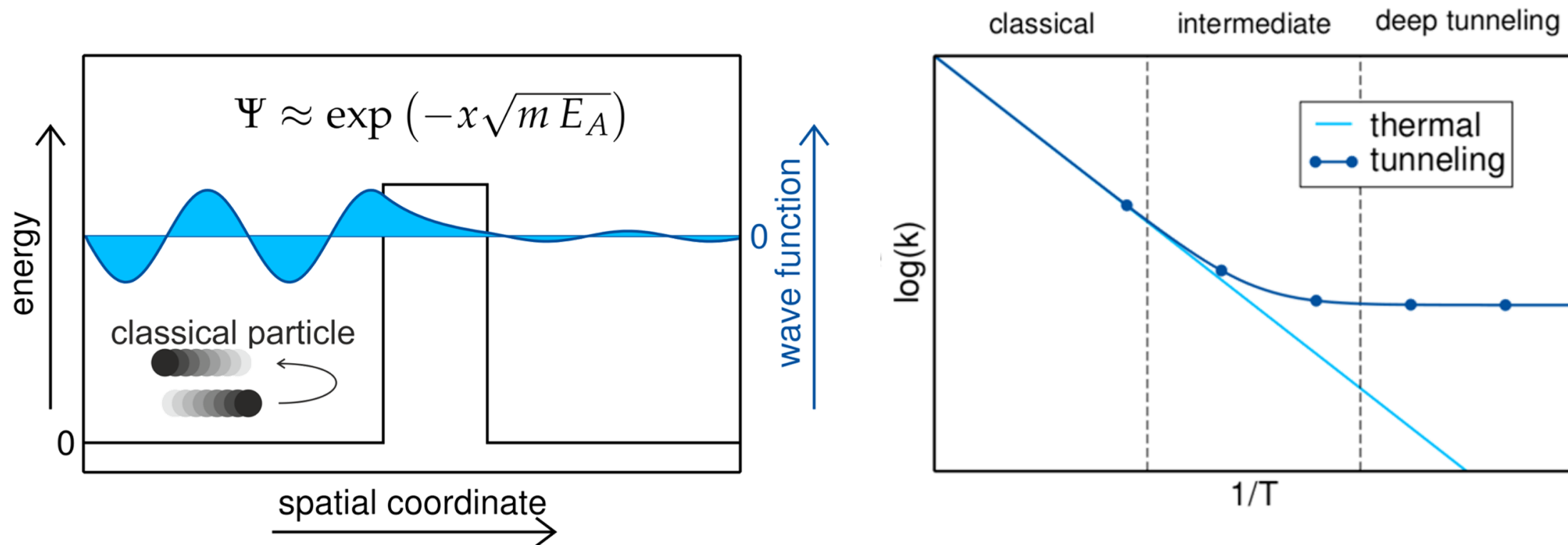
$$k_{LH} = \nu_{trial} \exp\left(-\frac{E_{react}}{k_B T}\right)$$

2. Tunneling mediated:

Depends on barrier height and width



Low-temperature effects: tunneling



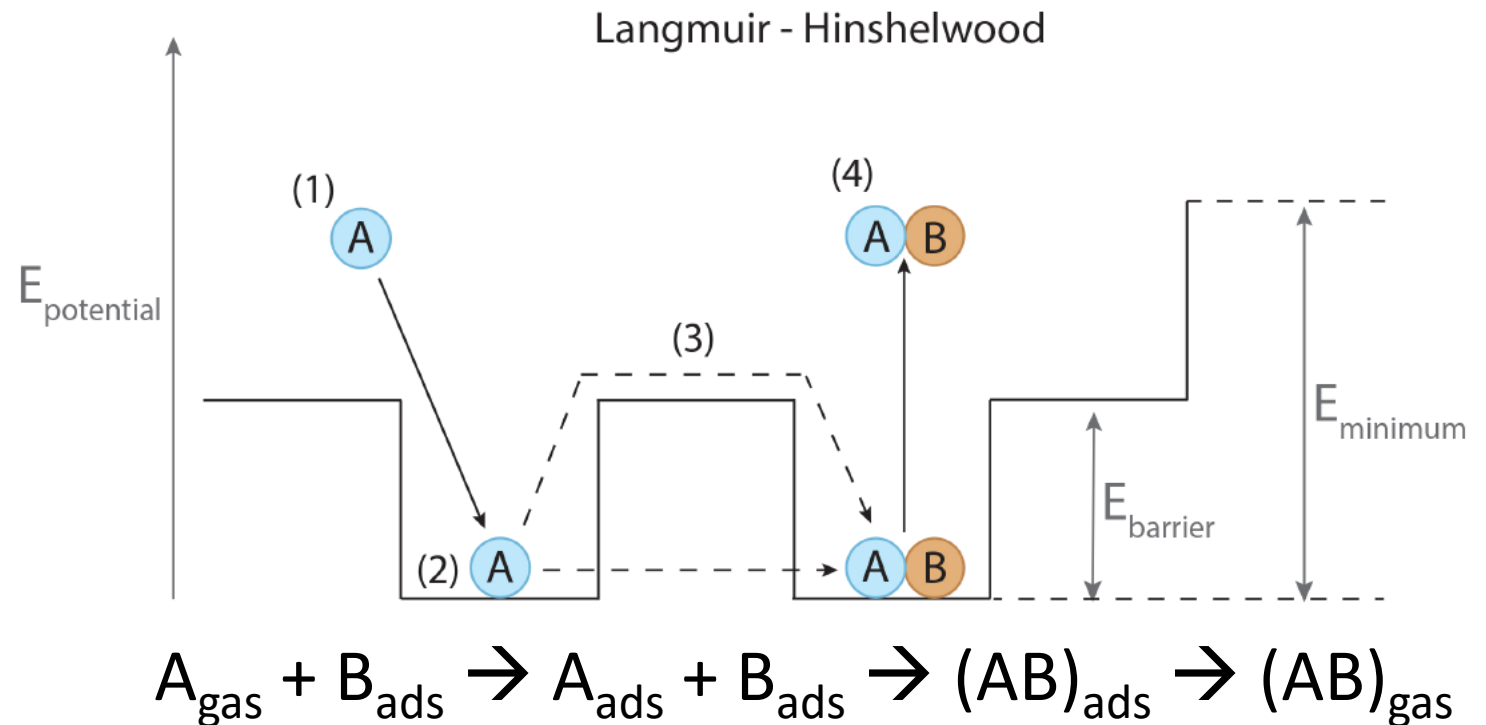
Minimum energy path is not a good approximation to the tunneling path:
Rectangular barrier, Eckart, Instanton theory

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Reaction mechanism: Langmuir-Hinshelwood

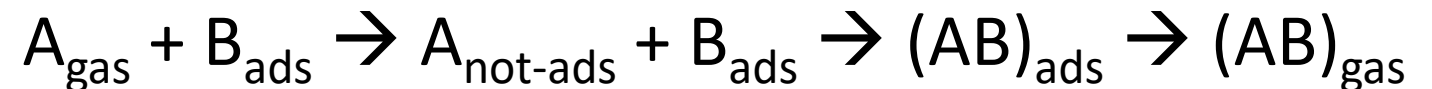
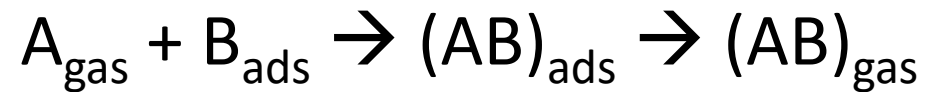
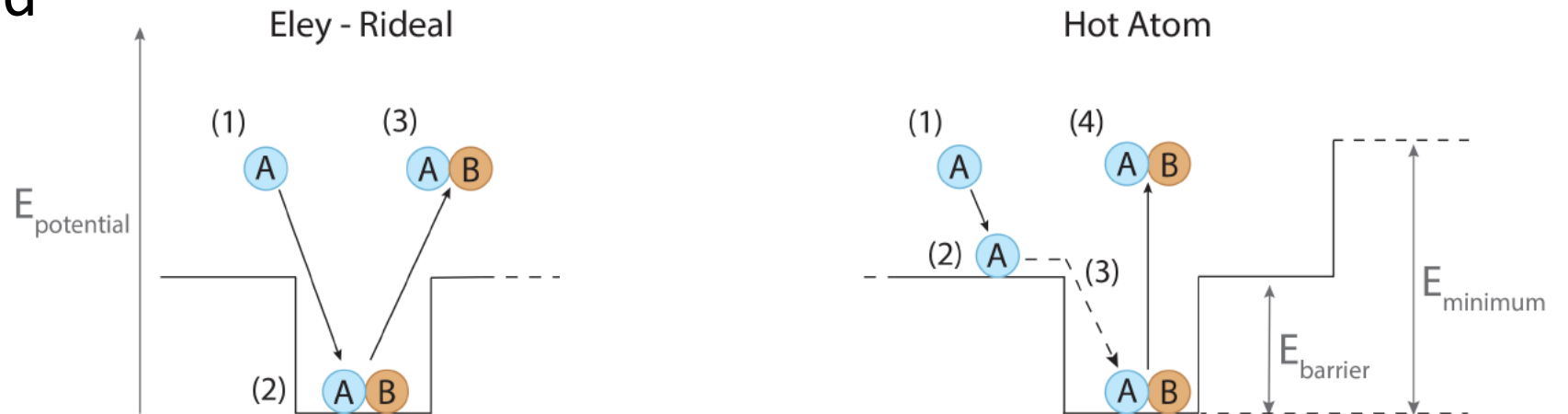
Thermalized and
diffusive:



$$k_{LH} = \nu_{\text{trial}} \exp\left(-\frac{E_{\text{react},A+B}}{k_B T}\right) \text{ with } E_{\text{react},A+B} \text{ the reaction barrier}$$

Reaction mechanism: Eley-Rideal or Hot Atom

Non-Thermalized



Timescale comparisons (dark cloud @ 10 K)

Process	Timescale
Dissipation	Picoseconds – microseconds
Reaction	Microseconds – seconds
Diffusion	Microseconds – days
Adsorption	Days – months
Desorption	Seconds – months
Experiment	Days – weeks
Telescope lifetime	Years – decades
Molecular cloud	Million years

Surface processes in rate equation models

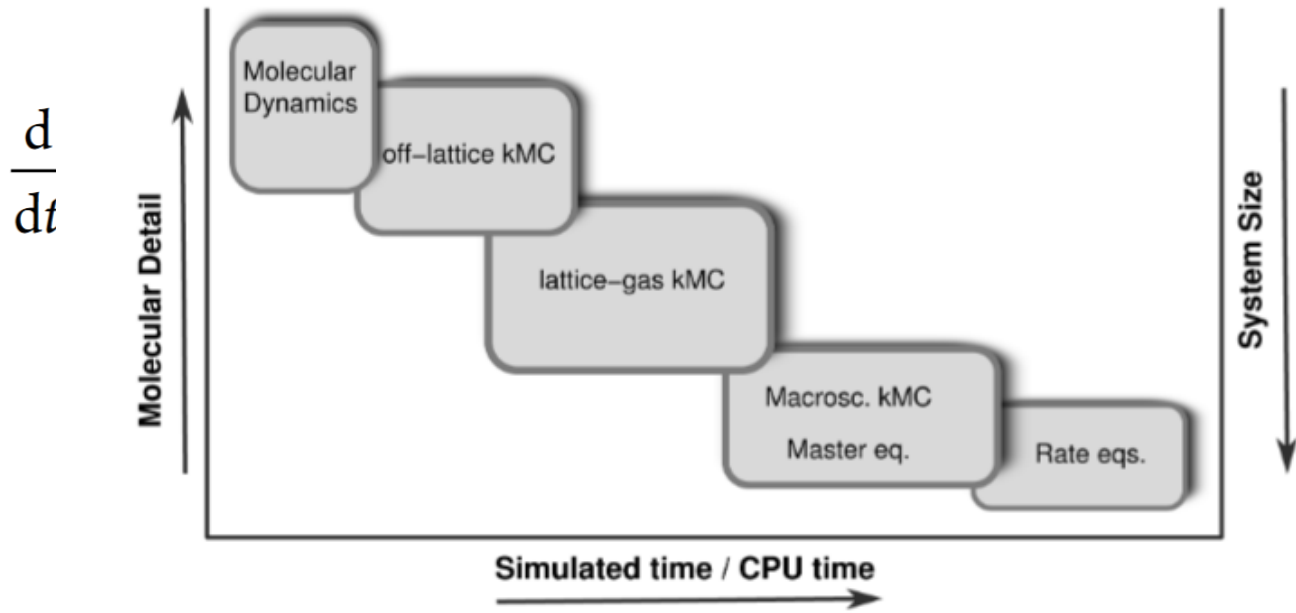
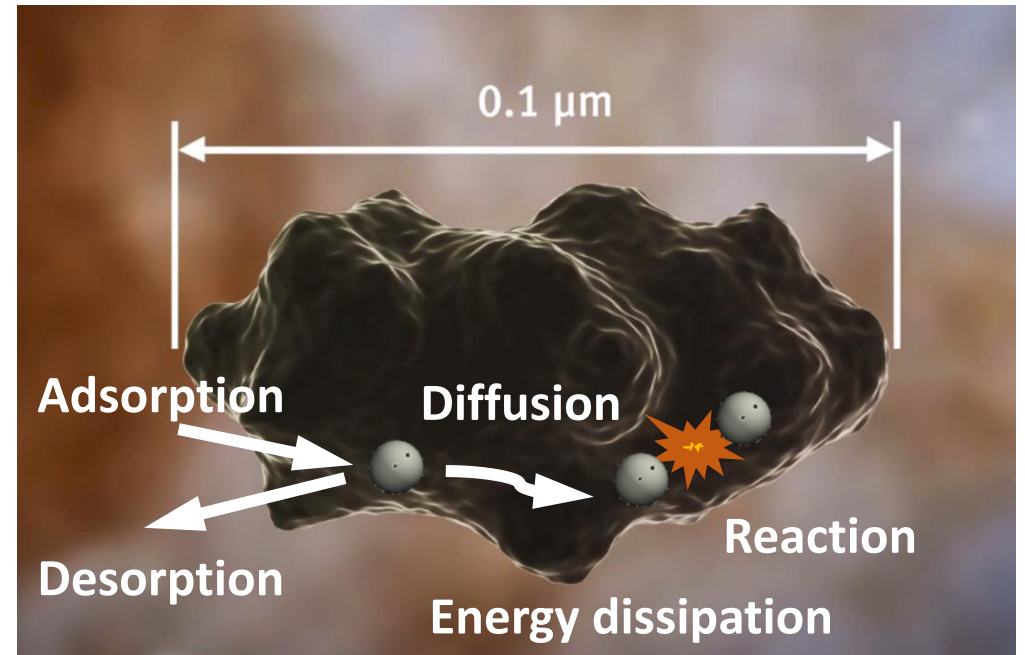


Figure 1. Overview of the different simulation methods mentioned in the present review.



Competition

$$k_{react} = \frac{k_{react}}{k_{react} + k_{diff} + k_{des}}$$

$$k_{react, thermal} = \nu \cdot e^{-E_{act}/k_B T}$$

$$k_{react, tunneling} = \text{not trivial}^*$$

$$k_{des} = \nu \cdot e^{-E_{bind}/k_B T}$$

$$k_{diff} = \nu \cdot e^{-E_{diff}/k_B T}$$

Surface processes in rate equation models



UCLCHEM

[Docs](#)

[Blog](#)

[UCLCHEM](#)

[3D-PDR](#)

[Emulators](#)

[UCLPDR](#)

[UCLCHEMCMC](#)

[Other](#)

v3.3.1 ▼



UCLCHEM

A Gas-Grain Chemical Code for astrochemical modelling

[View on GitHub](#)

[Get a Zip](#)

[Get a Tarball](#)

UCLCHEM is a gas-grain chemical code for astrochemical modelling that can be used as a stand alone Fortran program or a Python module. It propagates the abundances of chemical species through a network of user-defined reactions according to the physical conditions of the gas.

Sneak-peak at the molecular scales

- Introduction Astrochemistry

- **Surface processes**

- Dissociation

- Adsorption

- Diffusion

- Evaporation

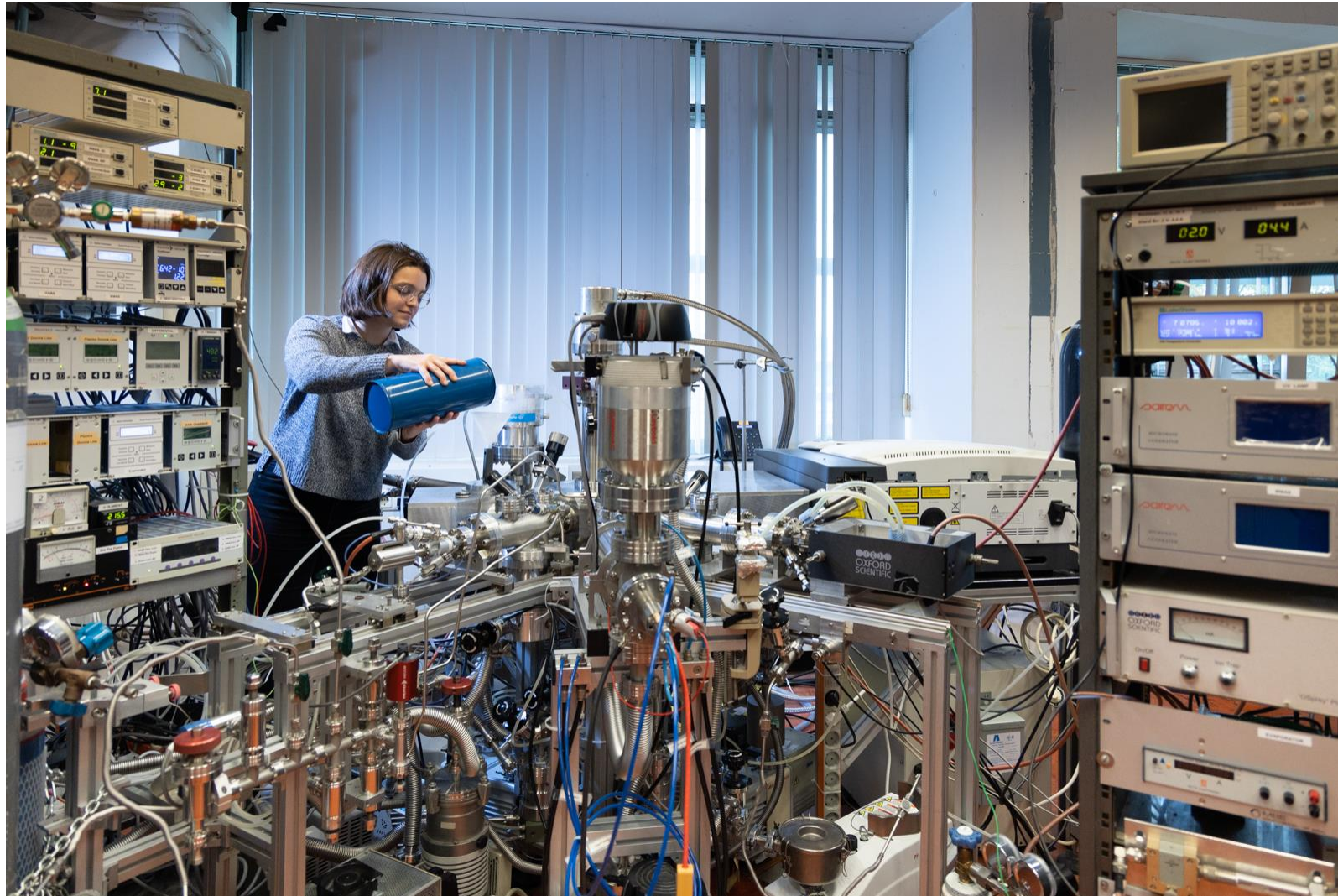
- Chemical reaction mechanisms

- Energetic Processing & Non-thermal Desorption

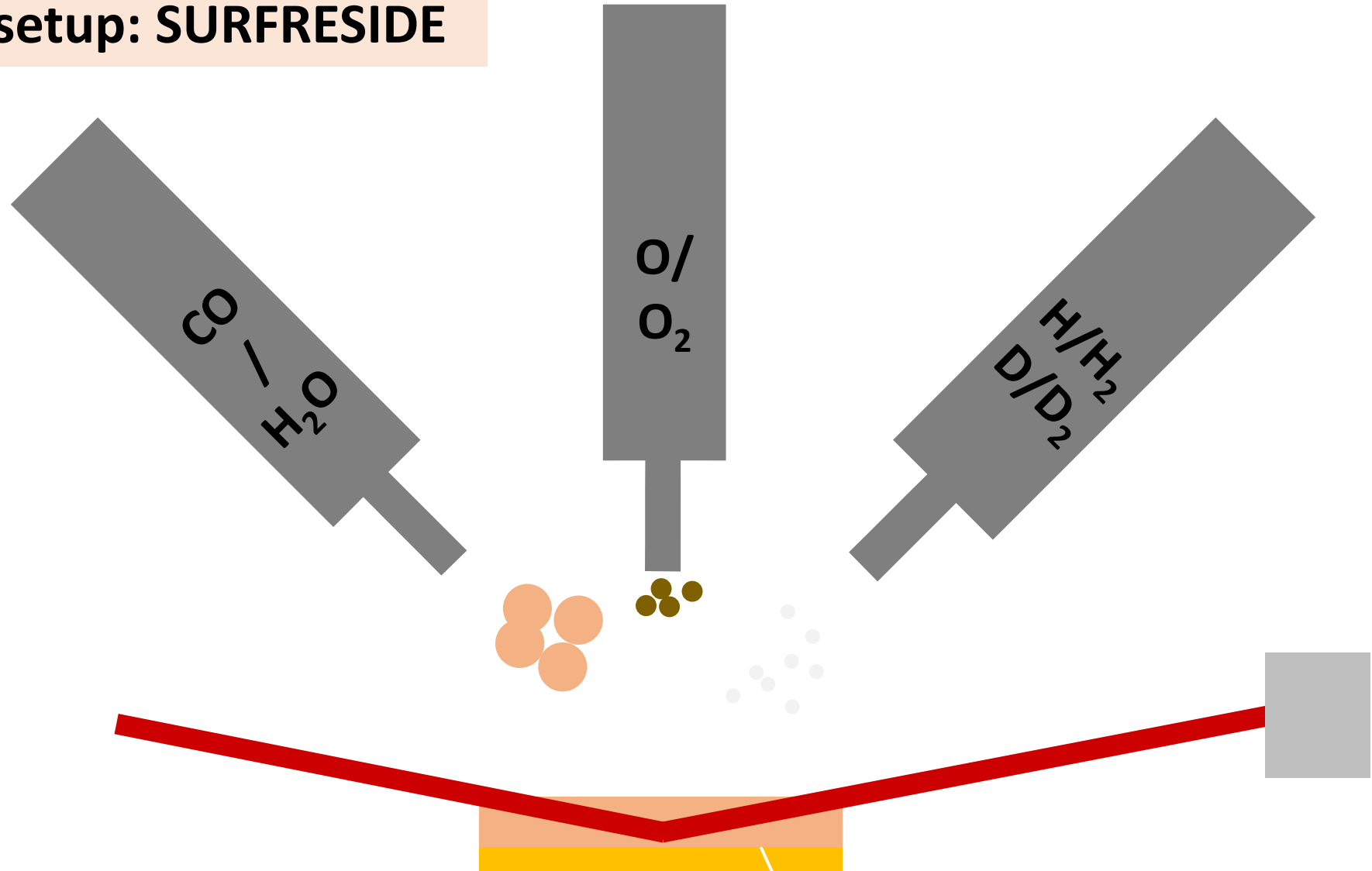


Example: H₂O formation

Laboratory for Astrophysics @ Leiden



Experimental setup: SURFRESIDE



Water reaction network

References:

Van de Hulst 1949

Tielens & Hagen 1982

Hiraoka et al. 1998

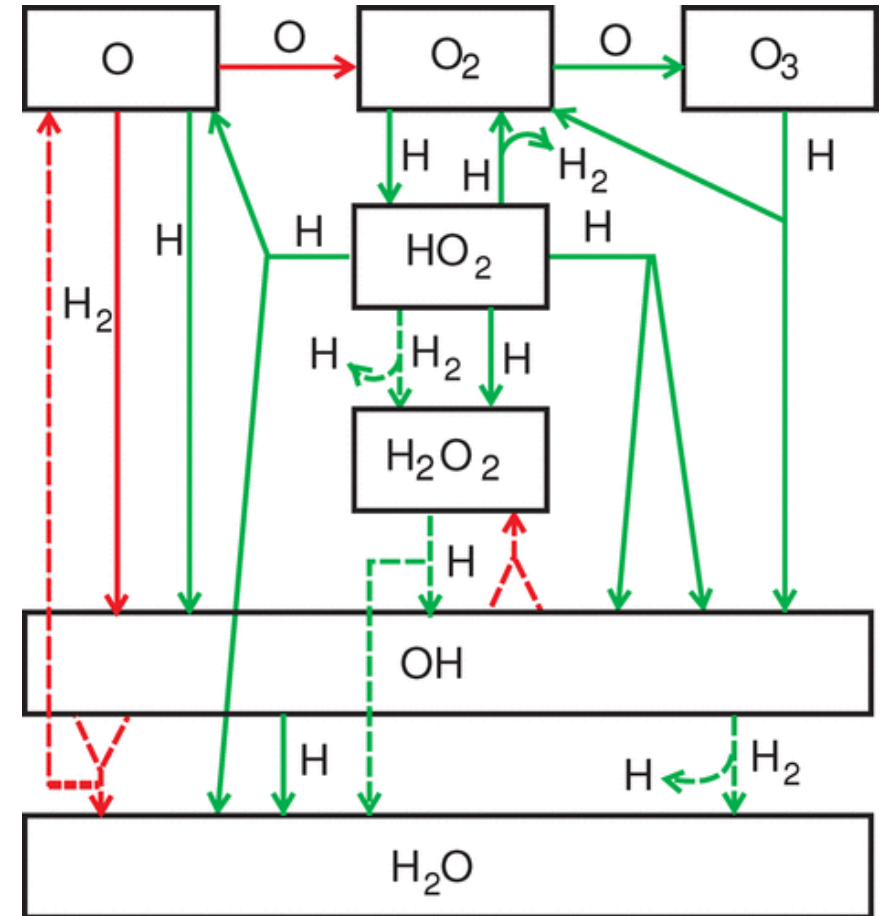
Ioppolo et al. 2008

Dulieu et al. 2010

Cuppen et al. 2010

Oba et al. 2012

Lamberts et al. 2017



Van Dishoeck et al. 2013

Theoretical work on water formation I

Kinetic Monte Carlo lattice-gas model

PCCP

RSC Publishing

Faraday Discussions



Cite this: *Faraday Discuss.*, 2014, 168, 327

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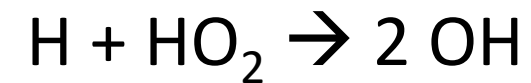
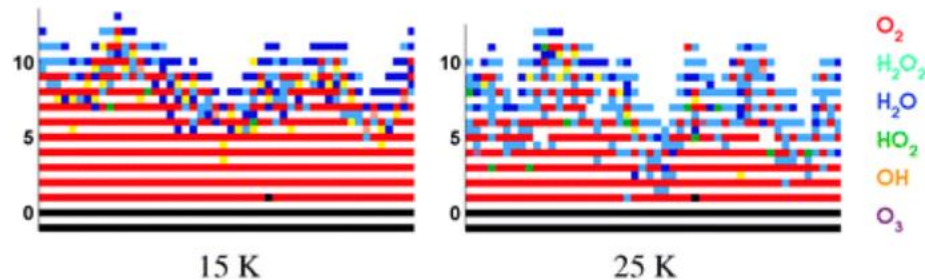
Water formation at low temperatures by surface O₂ hydrogenation III: Monte Carlo simulation

Cite this: *Phys. Chem. Chem. Phys.*, 2013, 15, 8287

Thanja Lamberts,^{*ab} Herma M. Cuppen,^b Sergio Ioppolo,^{†a} and Harold Linnartz^a

The formation of ice mantles on interstellar grains revisited – the effect of exothermicity

T. Lamberts,^{ab} X. de Vries^a and H. M. Cuppen^{*a}



Theoretical work on water formation II

Reaction rate constants

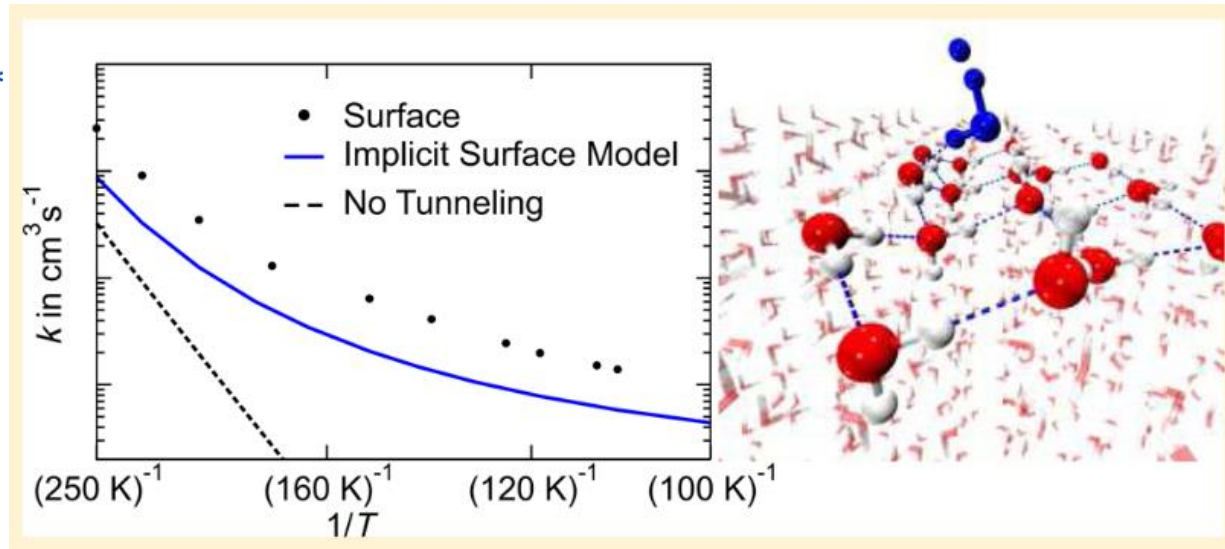


Article

<http://pubs.acs.org/journal/aescq>

Atom Tunneling in the Water Formation Reaction $\text{H}_2 + \text{OH} \rightarrow \text{H}_2\text{O} + \text{H}$ on an Ice Surface

Jan Meisner,^{1b} Thanja Lamberts, and Johannes Kästner*



Theoretical work on water formation II

Reaction rate constants

THE ASTROPHYSICAL JOURNAL, 846:43 (7pp), 2017 September 1



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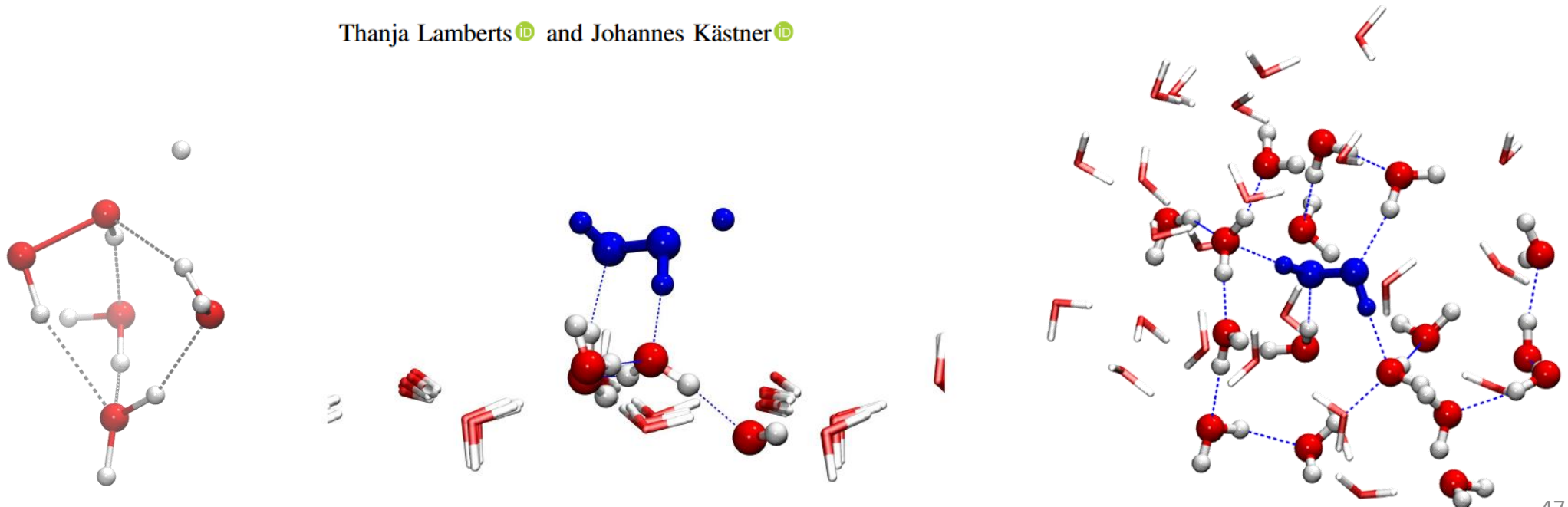
<https://doi.org/10.3847/1538-4357/aa8311>



CrossMark

Influence of Surface and Bulk Water Ice on the Reactivity of a Water-forming Reaction

Thanja Lamberts  and Johannes Kästner 

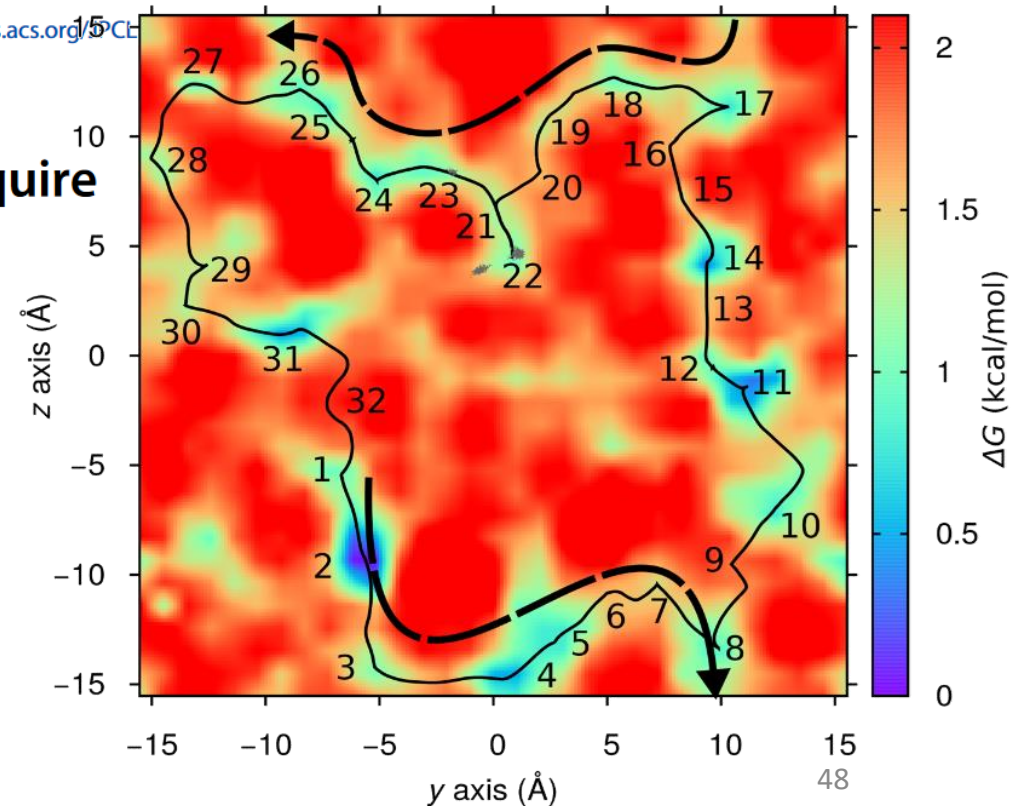


Theoretical work on water formation III

Diffusion parameters

Molecular Oxygen Formation in Interstellar Ices Does Not Require Tunneling

Marco Pezzella, Oliver T. Unke,^{id} and Markus Meuwly*^{id}



Theoretical work on water formation IV

Diffusion parameters

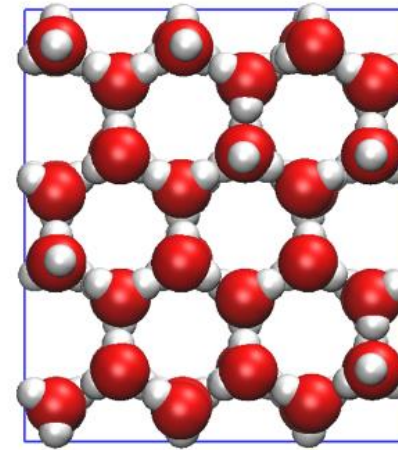
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Article

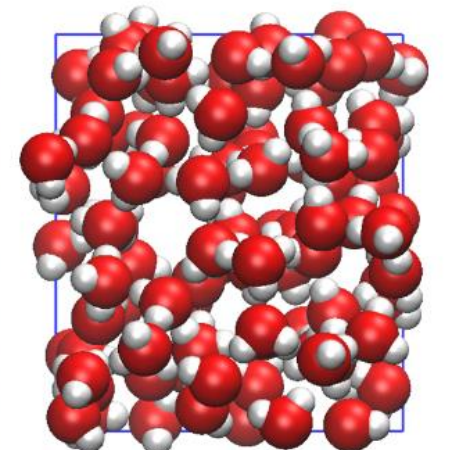
pubs.acs.org/JPCC

Long-Time Scale Simulations of Tunneling-Assisted Diffusion of Hydrogen on Ice Surfaces at Low Temperature

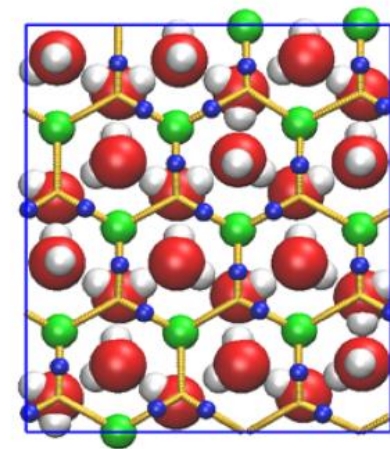
V. Ásgeirsson,^{†,‡} H. Jónsson,^{§,¶} and K. T. Wikfeldt^{*,†,¶}



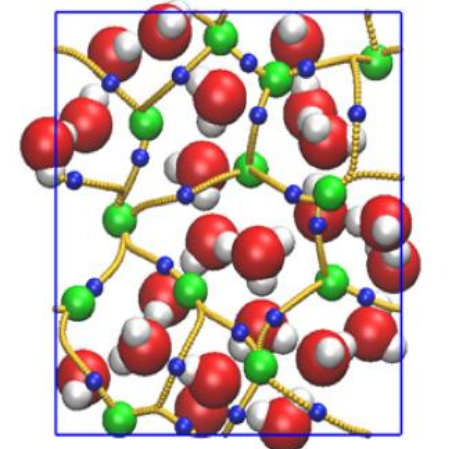
(a)



(b)



(c)



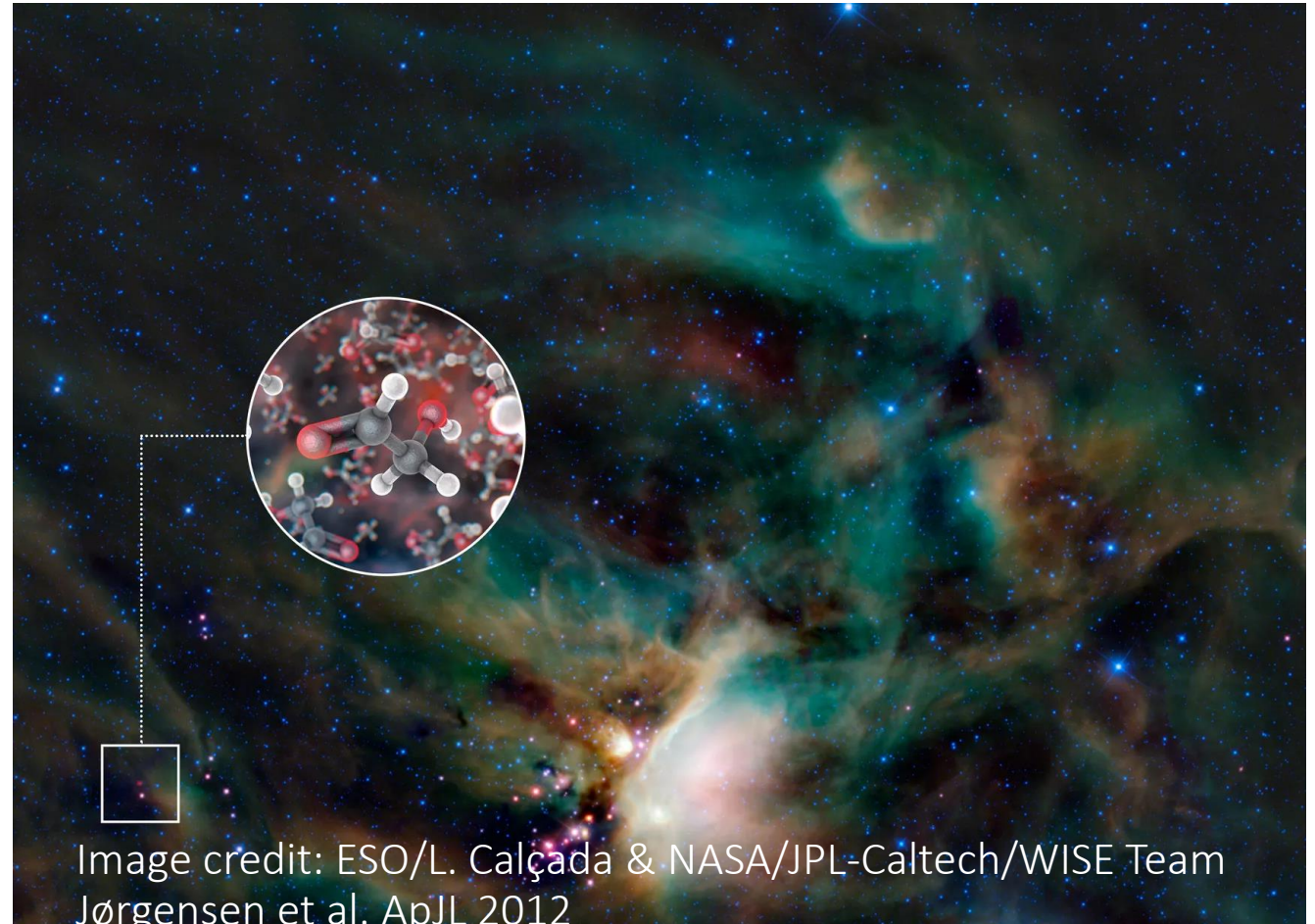
(d)

Great, H₂O! But... what about biomolecules?

Simplest sugar: CHOCH₂OH

Ingredients: CO and H

We will look at molecules that can be formed with CO and H in the exercise



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Energetic Processing

Different sources of radiation:

- UV
- X-Rays
- Cosmic Rays



Leads to:

- Excitation of molecules
- Dissociation of molecules
- Secondary electron generation
- UV generated radiation




Recent advances: cosmic ray impact

A&A 671, A156 (2023)
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**Astronomy
&
Astrophysics**

Cosmic-ray sputtering of interstellar ices in the electronic regime

A compendium of selected literature yields

E. Dartois¹ , M. Chabot², C. A. P. da Costa³ , T. Nguyen² , J. Rojas², J. Duprat⁴, B. Augé⁵, A. Domaracka³,
H. Rothard³, and P. Boduch³

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OPEN ACCESS

<https://doi.org/10.3847/1538-4357/acb545>



CrossMark

Bombardment of CO Ice by Cosmic Rays. I. Experimental Insights into the Microphysics of Molecule Destruction and Sputtering

Alexei V. Ivlev¹ , Barbara M. Giuliano¹, Zoltán Juhász² , Péter Herczku² , Béla Sulik² , Duncan V. Mifsud^{2,3} ,
Sándor T. S. Kovács² , K. K. Rahul² , Richárd Rácz² , Sándor Biri² , István Rajta² , István Vajda² , Nigel J. Mason^{2,3} ,
Sergio Ioppolo^{4,5} , and Paola Caselli¹ 

Non-thermal desorption

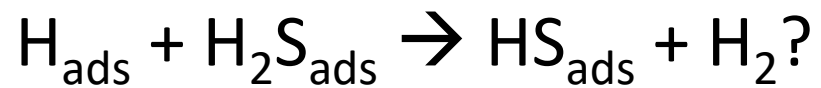
At 10 K it is unlikely that molecules can desorb “thermally”

Alternative mechanisms that are proposed are:

- Desorption induced by energetic radiation
 - Problem: what about dissociation?
- Desorption induced by the excess energy of a chemical reaction
 - Problem: what about dissipation?

Example: Do reaction products evaporate?

How do vibrationally excited molecules dissipate their energy?



LETTERS

<https://doi.org/10.1038/s41550-018-0380-9>

nature
astronomy

An infrared measurement of chemical desorption from interstellar ice analogues

Y. Oba ^{1*}, T. Tomaru¹, T. Lamberts ², A. Kouchi¹ and N. Watanabe¹

Example: Do reaction products evaporate?

How do vibrationally excited molecules dissipate their energy?







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<https://doi.org/10.3847/1538-4357/ab8a4b>



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Chemical Desorption versus Energy Dissipation: Insights from Ab Initio Molecular Dynamics Simulations of HCO• Formation

Stefano Pantaleone¹ , Joan Enrique-Romero^{1,2} , Cecilia Ceccarelli¹ , Piero Ugliengo³ , Nadia Balucani^{1,4,5} , and Albert Rimola² 

- EXCITATION BY TIXE
- Excitation into a
- Quantum effects

**SPACE
CHEMISTRY**

<http://pubs.acs.org/journal/aescq>



Article

Molecular Dynamics Simulations of Energy Dissipation on Amorphous Solid Water: Testing the Validity of Equipartition

Adrien Fredon, Gerrit C. Groenenboom, and Herma M. Cuppen*



Cite This: *ACS Earth Space Chem.* 2021, 5, 2032–2041



Read Online

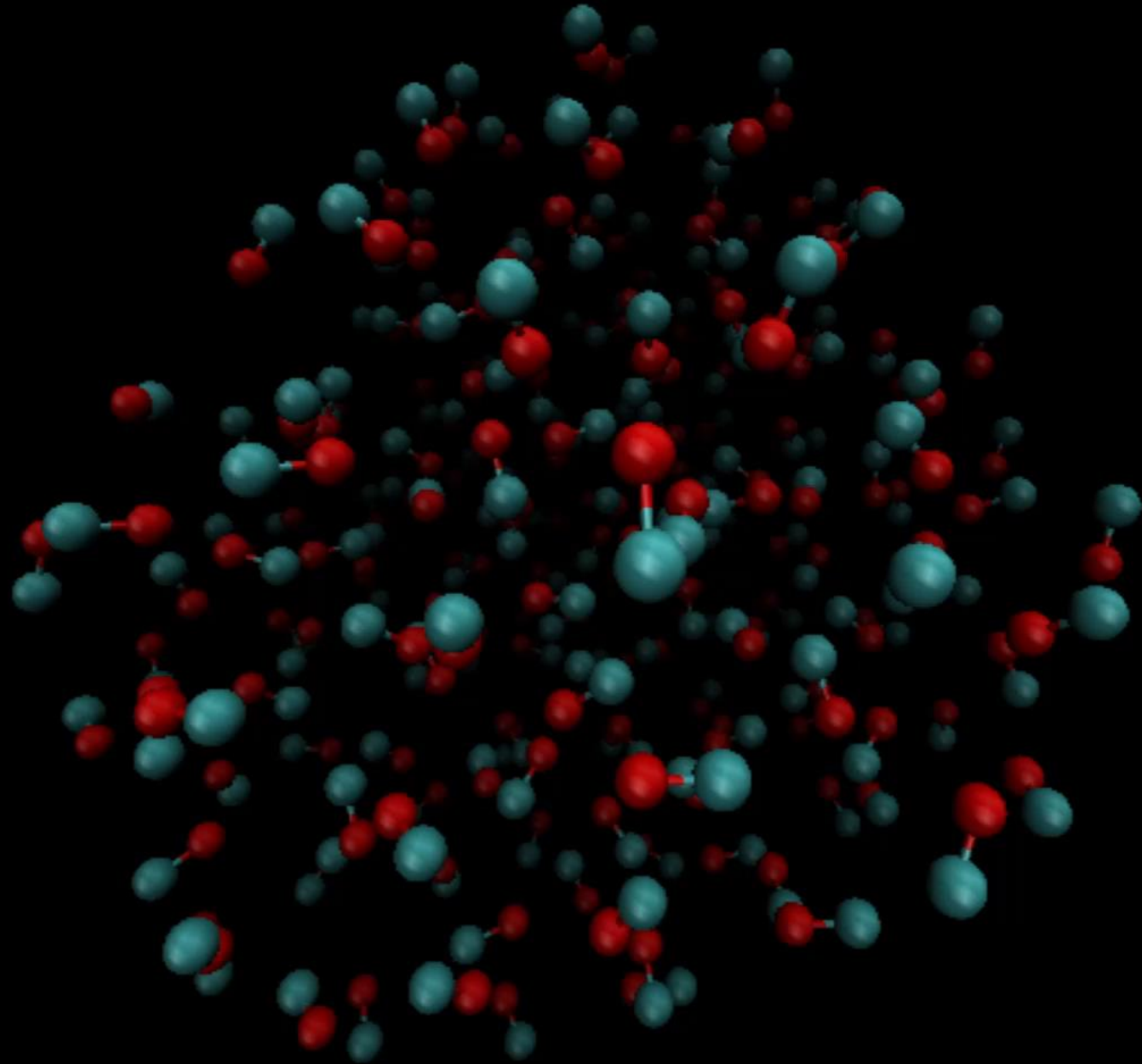
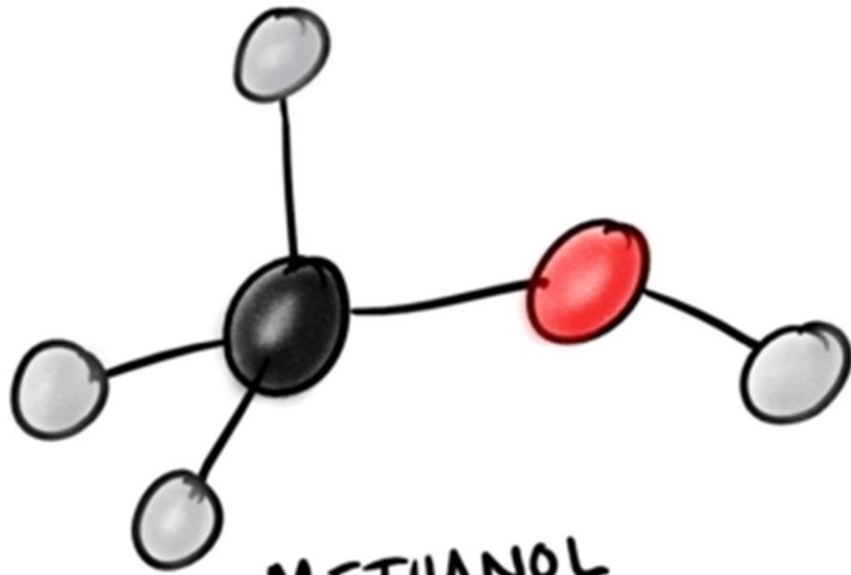


Figure courtesy: Brian C. Ferrari

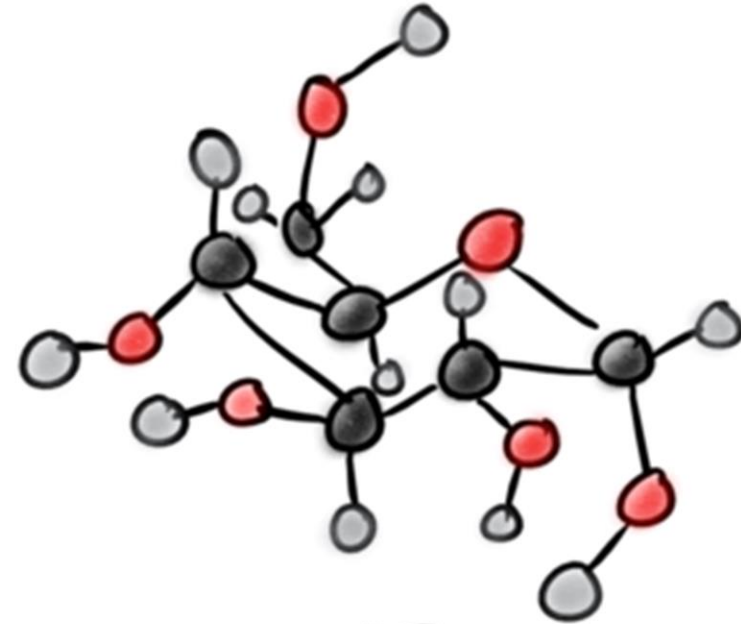
Take Home Messages

- Ice surface chemistry leads to formation of (saturated) molecules
- Dust grains are pivotal in taking up excess energy of reactions
- There are various elementary surface processes at play
- Surface reactions can be barrierless or with a barrier
- There are thermal and non-thermal desorption mechanisms

Molecular complexity ?!



METHANOL
"complex" in space



GLUCOSE
"simple" on Earth

Figure courtesy: Olivia Harper Wilkins