# High energy physics and inflation as a tool to see it 

Lecture 1

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ISAPP 2012 La Palma

## What is inflation and why do we care?

We have the difficult problem of setting initial conditions for the hot, dense phase before our present matter/^ era


## Why work on inflation?

Historically, inflation was thought of as a solution to the flatness, horizon and monopole problems of the conventional hot big bang.

Opinions differ, but (to me) it is not absolutely clear to what extent this is true - at least for the horizon and monopole problems.

It's quite possible to have phase transitions after inflation which would reintroduce topological defects.

Also, it's not clear under what conditions inflation can get under way. If we need some fine-tuned initial conditions at the beginning of the inflationary era, maybe all we have done is push the problem earlier in time but not remove it.

For me, the real reason to work on inflation is the fluctuations it produces.


I have neglected curvature, but its influence scales away very fast
The Hubble parameter is

$$
H=\frac{\dot{a}}{a}
$$

Inflation is an era when $\ddot{a}>0$

$$
\begin{array}{lc}
\text { so } & \dot{H} a+H \dot{a}=a\left(\dot{H}+H^{2}\right)=a H^{2}\left(\frac{\dot{H}}{H^{2}}+1\right)>0 \\
\text { or } & \epsilon \equiv-\frac{\dot{H}}{H^{2}}<1
\end{array}
$$

Rather than cosmic time, we often measure the duration of inflation in terms of "e-folds"

$$
\exp N=\frac{a(\text { now })}{a(\text { then })}
$$

$N$ is number of e-folds between then and now

$$
\begin{aligned}
\exp (N) \mathrm{d} N & =\frac{\dot{a}}{a(\text { reference })} \mathrm{d} t=\frac{a}{a(\text { reference })} H \mathrm{~d} t \\
\mathrm{~d} N & =H \mathrm{~d} t
\end{aligned}
$$

or

## What ingredients are needed?

$$
3 H^{2} M_{\mathrm{P}}^{2}=\sum_{\alpha} \frac{1}{2} \dot{\phi}_{\alpha} \dot{\phi}_{\alpha}+V(\phi)
$$



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## Planck scale - quantum gravity effects

At least one fluctuation which is light compared to the Hubble scale



## energy

Planck scale - quantum gravity effects
Presumably some fluctuations which are heavy compared to the Hubble scale

Perhaps many heavy modes

Hubble scale - energy density of the background

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Planck scale - quantum gravity effects
What is the physics which generates the background? This is the province of detailed model-building and is notoriously difficult to get right. Typically, quantum effects spoil everything. There is not yet any successful approach.

Hubble scale - energy density of the background

## At least one fluctuation which is light compared to the Hubble scale

Possibly more light fluctuations


Planck scale - quantum gravity effects
First, ignore any possible heavy or near-Hubble modes.
Where there is one remaining light mode, we have single-field inflation. At present, this is the best-understood case. Predictions decouple from the infrared behaviour of the theory.

At least one fluctuation which is light compared to the Hubble scale

## Possibly more light fluctuations

If there are multiple light modes then the situation is more complicated. Predictions are now sensitive to the infrared dynamics of the theory. (We will see how this happens later.)

At least one fluctuation which is light compared to the Hubble scale

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## Perhaps many heavy modes

Ideally, we would also like to detect the presence of heavy modes.

In flat-space quantum field theory, the Appelquist-Carazzone decoupling theorem is an obstruction to this.
But it does not apply in quite the same way with a dynamical background.

This means we can ask about the sensitivity of our predictions to the ultraviolet content of the model, as well as its infrared dynamics.

## 흐 4 TeV scale - energy scale of electroweak symmetry breaking



Higgs, mass $\approx 125 \mathrm{GeV}$


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Electroweak vector bosons, mass $\approx 90 \mathrm{GeV}$



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Lots of light stuff, electrons, neutrinos, ...
the mass of the top quark could be predicted, using high precision data from the accelerator LEP (Large Electron Positron) at the Laboratory CERN, Switzerland, several years before it was discovered in 1995 at the Fermi National Laboratory in USA.
... Similarly, comparison of theoretical values of quantum corrections involving the Higgs Boson with precision Measurements at LEP gives information on the mass of this as yet undiscovered particle.

Nobel Prize citation, t'Hooft \& Veltman 1999

This kind of sensitivity could let us rule out models based on the UV or IR content of the fluctuation spectrum, in the same way that precision electroweak measurements allowed us to rule out technicolour.

Of course, we always retain the option to go back to the "traditional" way of thinking about sensitivity to the UV - by searching for a consistent theory to describe the background.

It's not really clear how much success we can hope for with either route. But searching for the fluctuation spectrum is a much simpler first step, and anyway we can try it with data.

## Fluctuations from inflation

Tiling scale (arbitrary, not physical)


Box of de Sitter space

## Fluctuations from inflation



Tiling scale (arbitrary, not physical)
We need a nearly smooth background field $\Phi_{i j}$ in each "tile" or "box," which evolves coherently up to small gradient corrections

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Focus on a single tile

Box of de Sitter space


time
e-folds N
active scalars $\Phi$

time
e-folds N active scalars $\Phi$
horizon crossing mode $k$ $\mathrm{N}_{\mathrm{k}}$

a fluctuation is generated
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## time of observation Nobs


here, the fluctuations
a fluctuation is generated
time
e-folds N
active scalars $\Phi$

a fluctuation is generated
at horizon-crossing, we have two clear, separated scales which help control the calculation
time scales (slow roll scales)

$$
\epsilon \sim \frac{V^{\prime 2}}{V^{2}} \quad \eta \sim \frac{V^{\prime \prime}}{V} \quad \xi \sim \frac{V^{\prime \prime \prime} V^{\prime}}{V^{2}}
$$

$$
10^{-2}
$$

quantum scale

$$
\frac{H^{2}}{M_{\mathrm{P}}^{2}} \quad 10^{-10} \text { ish }
$$

## Fluctuations in de Sitter space

First, let's forget about interactions and study the free theory. Later, we can go back and deal with interactions if we want.


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S_{2}=\frac{1}{2} \int \mathrm{~d}^{4} x \sqrt{-g}\left[(\partial \phi)^{2}+V(\phi)\right]
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& S_{2}=\frac{1}{2} \int \mathrm{~d}^{4} x \sqrt{-g}\left[(\partial \delta \phi)^{2}+m^{2} \delta \phi^{2}\right]
\end{aligned}
$$

mass $m$ comes from the details of the background theory (V), plus the background which is chosen, plus mixing with gravity

## What do we actually want to compute?

(Remember this is nontrivial - we need all that LSZ trauma for scattering)
In a quantum field theory, almost the only objects we have are correlation functions, so let's compute the simplest - the 2-point function.

In any case, we will need this for the propagator when we include interactions. I'm dropping $\delta$, but this is for fluctuations.

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G_{2}(x, y)=\langle\phi(x) \phi(y)\rangle
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$$
\mathrm{d} s^{2}=-\mathrm{d} t^{2}+a(t)^{2} \mathrm{~d} x^{2}=a(\eta)^{2}\left[-\mathrm{d} \eta^{2}+\mathrm{d} x^{2}\right]
$$

It is simplest to use a $3+1$

$$
\longrightarrow \quad x=(\eta, x)
$$

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G_{2}\left(\eta_{1}, x_{1} ; \eta_{2}, x_{2}\right)=\left\langle\phi\left(\eta_{1}, x_{1}\right) \phi\left(\eta_{2}, x_{2}\right)\right\rangle
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But which vacuum are we talking about? Remember this implicitly includes assumptions about the UV behaviour.

For the time being, let's keep calculating

The expectation value means "expectation taken in the vacuum state".


```
\[
\langle\operatorname{vac}| \phi\left(\eta_{1}, \boldsymbol{x}_{1}\right) \phi\left(\eta_{2}, \boldsymbol{x}_{2}\right)|\mathrm{vac}\rangle
\]
```

To leave our options open, let's work in an arbitrary mixed state described by a density matrix $\rho$, rather than the vacuum

$$
\left\langle\phi\left(\eta_{1}, x_{1}\right) \phi\left(\eta_{2}, x_{2}\right)\right\rangle_{\rho}=\operatorname{tr}\left[\phi\left(\eta_{1}, x_{1}\right) \phi\left(\eta_{2}, x_{2}\right) \rho\right]
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& =\int\left[\mathrm{d}^{3} \phi^{*}\right]\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right) \phi\left(\eta_{2}, x_{2}\right) \rho\left|\phi^{*}\right\rangle
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\end{aligned}
$$

this means an integral over a 3 -dimensional field $\Phi(x)$
we are thinking in a Schrödinger picture for field theory, with the field in a state with configuration $\Phi^{*}(x)$ at a time $\eta_{*}$ later than either $\eta_{1}$ or $\eta_{2}$.

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\end{aligned}
$$

Inserting two more resolutions of unity, thought of as states of the field at an early time $\eta_{0}$, we get

$$
=\int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right]\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle
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$$

integrals over 3d field configurations

This says that the two-point expectation value is built up out of bits we can identify

$$
\left\langle\phi^{*}\right| \phi\left(\eta_{1}, \boldsymbol{x}_{1}\right)\left|\phi^{+}\right\rangle
$$

amplitude for transition from state $\phi^{+}$to $\phi^{*}$ with emission of an extra $\Phi$

The LSZ formula would tell us that the rate for this transition involves

$$
\left.\int_{\text {phase space }}\left|\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\right| \phi^{+}\right\rangle\left.\right|^{2} \times \text { propagator factors }
$$

here we are not calculating rates, but expectation values

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$\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle$
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$\left.\left|\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\right| \phi^{+}\right\rangle\left.\right|^{2}=$ probability of transition $\phi^{+} \rightarrow \phi^{*}$ with emission of $\phi$

integrate over all field configurations

$\left.\int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+}\right]\left|\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\right| \phi^{+}\right\rangle\left.\right|^{2}=$ probability of all transitions + emission of $\phi$

what we actually have is very nearly the same $\int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right]\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle$
weighting
( $\delta$-function in a pure state, eg., vacuum)

What we have computed, if we begin in the vacuum, is the probability for all transitions from the vacuum which are accompanied by emission of a $\Phi$ "particle"

$$
\left.\int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+}\right]\left|\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\right| \phi^{+}\right\rangle\left.\right|^{2}=\text { probability of all transitions }+ \text { emission of } \phi
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In the general case, this formula computes the correctly weighted average of all transition probabilities from states in the statistical ensemble described by $\rho$ which are accompanied by emission of a $\Phi$
what we actually have is very nearly the same

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In the general case, this formula computes the correctly weighted average of all transition probabilities from states in the statistical ensemble described by $\rho$ which are accompanied by emission of a $\Phi$

Because we don't insist that the transition is to vacuum $+\Phi$, we can find some interesting effects.

However, if we start with the vacuum, the probability is dominated by the amplitude for transition to vacuum $+\Phi$

## What we have arrived at is the "Schwinger" or "in-in" formulation of expectation values

It is very closely related to the Cutkosky rules (QCD, nuclear physics), finite density physics (condensed matter, critical phenomena, dynamical critical phenomena and phase transitions, ...) and finite temperature physics (in the real-time formulation)

It can also be thought of as an initial-value formulation of QFT, compared to the usual Feynman boundary-value formulation

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late time, fixed state
two quanta appear and then separate, sharing a history.

early time, fixed state $\eta_{1}$
"Feynman" formulation "Schwinger" formulation
both external legs at late time, so no quanta enter the diagram instead, they are nucleated like an instanton
late time, fixed state $\eta_{2}$
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precisely the same thing happens for higher n-point functions


3 quanta nucleate and separate
late time, fixed state $\eta_{2}$
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"Feynmen" formulation "Schwinger" formulation

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the Feynman rules always give an integral over all space


$$
\begin{gathered}
\int \mathrm{d}^{4} x \sqrt{-g} \cdots \\
\vdots \\
\mathrm{~d}^{3} x \mathrm{~d} t a(t)^{3}
\end{gathered}
$$

## The two-point function - calculation

Now we understand what we are computing, we have to finish the job and calculate it

## Our first problem is the statistical weight



Each state is a field configuration at the initial time $\eta_{0}$.

So we expect a functional of the fields evaluated at that time

$$
\begin{array}{r}
\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle=\exp (-\mathcal{S}) \quad \begin{array}{r}
\text { (eg., by Euclidean time } \\
\text { path integral) }
\end{array} \\
\mathcal{S}=\frac{1}{2} \int \frac{\mathrm{~d}^{3} k}{(2 \pi)^{3}}\left[f_{k}\left(\left|\phi_{0}^{+}\right|^{2}+\left|\phi_{0}^{-}\right|^{2}\right)-g_{k}\left(\phi_{0}^{+} \phi_{0}^{-*}+\phi_{0}^{+*} \phi_{0}^{-}\right)\right]
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\text { path integral) }
\end{array} \\
\mathcal{S}=\frac{1}{2} \int \frac{\mathrm{~d}^{3} k}{(2 \pi)^{3}}\left[f_{k}\left(\left|\phi_{0}^{+}\right|^{2}+\left|\phi_{0}^{-}\right|^{2}\right)-g_{k}\left(\phi_{0}^{+} \phi_{0}^{-*}+\phi_{0}^{+*} \phi_{0}^{-}\right)\right]
\end{array}
$$

depend on the initial state/ensemble (more complex if not Gaussian)
vacuum

$$
\begin{aligned}
& f_{k}=\omega_{k}=k^{2}+m^{2} \\
& g_{k}=0
\end{aligned}
$$

finite temperature $T=1 / \beta$

$$
\begin{aligned}
f_{k} & =\omega_{k} \operatorname{coth} \omega_{k} \beta \\
g_{k} & =\frac{\omega_{k}}{\sinh \omega_{k} \beta}
\end{aligned}
$$

Each transition amplitude can be calculated by a path integral

$$
\left\langle\phi^{*}\right| \mathcal{O}\left|\phi^{+}\right\rangle=\int\left[\mathrm{d}^{4} \phi\right]_{\phi^{+}}^{\phi^{*}} \mathcal{O} \exp (\mathrm{i} S[\phi])
$$

Each transition amplitude can be calculated by a path integral

$$
\begin{aligned}
& \left\langle\phi^{*}\right| \mathcal{O}\left|\phi^{+}\right\rangle=\int\left[\mathrm{d}^{4} \phi\right]_{\phi^{+}}^{\phi^{*}} \mathcal{O} \exp (\mathrm{i} S[\phi]) \\
& \hline
\end{aligned}
$$

now an integral over all field histories
which interpolate between $\Phi^{+}$at the initial time no
and $\Phi^{*}$ at the final time $\eta^{*}$
ie, 4-dimensional fields

Each transition amplitude can be calculated by a path integral

$$
\left\langle\phi^{*}\right| \mathcal{O}\left|\phi^{+}\right\rangle=\int\left[\mathrm{d}^{4} \phi\right]_{\phi^{+}}^{\phi^{*}} \mathcal{O} \exp (\mathrm{i} S[\phi])
$$

now an integral over all field histories which interpolate between $\Phi^{+}$at the initial time $\eta_{0}$ and $\phi^{*}$ at the final time $\eta^{*}$ ie, 4-dimensional fields

The backwards transitions can be calculated by Hermitian conjugation

$$
\left\langle\phi^{-}\right| \mathcal{O}\left|\phi^{*}\right\rangle=\left(\int\left[\mathrm{d}^{4} \phi\right]_{\phi^{-}}^{\phi^{*}} \mathcal{O} \exp (\mathrm{i} S[\phi])\right)^{\dagger}=\int\left[\mathrm{d}^{4} \phi\right]_{\phi^{-}}^{\phi^{*}} \mathcal{O}^{\dagger} \exp (-\mathrm{i} S[\phi])
$$

Now we collect all the pieces!

$$
\begin{aligned}
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right]\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle \\
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right]
\end{aligned}
$$

Now we collect all the pieces!

$$
\begin{aligned}
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right] \underbrace{\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle} \\
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right] \int\left[\mathrm{d}^{4} \phi_{A}\right]_{\phi^{+}}^{\phi^{*}} \phi_{A}\left(\eta_{1}, x_{1}\right) \exp \left(\mathrm{i} S\left[\phi_{A}\right]\right)
\end{aligned}
$$

Now we collect all the pieces!

$$
\begin{gathered}
\int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right]\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle \\
\int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right] \int\left[\mathrm{d}^{4} \phi_{A}\right]_{\phi^{+}}^{\phi^{*}} \phi_{A}\left(\eta_{1}, x_{1}\right) \exp \left(\mathrm{i} S\left[\phi_{A}\right]\right) \\
\times \exp (-\mathcal{S})
\end{gathered}
$$

Now we collect all the pieces!

$$
\begin{aligned}
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right]\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle \\
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right] \int\left[\left.\mathrm{d}^{4} \phi_{A}\right|_{\phi^{+}} ^{\phi^{*}} \phi_{A}\left(\eta_{1}, x_{1}\right) \exp \left(\mathrm{i} S\left[\phi_{A}\right]\right)\right. \\
& \\
& \times \exp (-\mathcal{S}) \\
& \\
& \times \int\left[\mathrm{d}^{4} \phi_{B} \oint_{\phi_{-}}^{\phi^{*}} \phi_{B}\left(\eta_{2}, x_{2}\right) \exp \left(-\mathrm{i} S\left[\phi_{B}\right]\right)\right.
\end{aligned}
$$

Now we collect all the pieces!

$$
\begin{aligned}
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right]\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle \\
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right] \int\left[\mathrm{d}^{4} \phi_{A}\right]_{\phi^{+}}^{\phi^{*}} \phi_{A}\left(\eta_{1}, x_{1}\right) \exp \left(\mathrm{i} S\left[\phi_{A}\right]\right) \\
& \\
& \times \exp (-\mathcal{S}) \\
& \quad \times \int\left[\mathrm{d}^{4} \phi_{B}\right]_{\phi_{-}}^{\phi^{*}} \phi_{B}\left(\eta_{2}, x_{2}\right) \exp \left(-\mathrm{i} S\left[\phi_{B}\right]\right)
\end{aligned}
$$

We can merge these into one integral over all 4d fields ending in $\phi^{*}$

$$
\int\left[\mathrm{d}^{4} \phi_{A}\right]_{\text {unrestricted }}^{\phi_{*}}
$$

Now we collect all the pieces!

$$
\begin{aligned}
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right)\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle \\
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right] \int\left[\mathrm{d}^{4} \phi_{A}\right]_{\phi^{+}}^{\phi^{*}} \phi_{A}\left(\eta_{1}, x_{1}\right) \exp \left(\mathrm{i} S\left[\phi_{A}\right]\right) \\
& \times \exp (-\mathcal{S}) \\
&\left.\times \int\left[\mathrm{d}^{4} \varphi_{B}\right]_{\phi_{-}}^{\phi^{*}}\right\rangle_{B}\left(\eta_{2}, x_{2}\right) \exp \left(-\mathrm{i} S\left[\phi_{B}\right]\right)
\end{aligned}
$$

We can merge these into one integral over all 4d fields ending in $\phi^{*}$

$$
\int\left[\mathrm{d}^{4} \phi_{A}\right)_{\text {unrestricted }}^{\phi_{*}} \int\left[\mathrm{~d}^{4} \phi_{B}\right]_{\text {unrestricted }}^{\phi_{*}}
$$

Now we collect all the pieces!

$$
\begin{aligned}
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right]\left\langle\phi^{*}\right| \phi\left(\eta_{1}, x_{1}\right)\left|\phi^{+}\right\rangle\left\langle\phi^{+}\right| \rho\left|\phi^{-}\right\rangle\left\langle\phi^{-}\right| \phi\left(\eta_{2}, x_{2}\right)\left|\phi^{*}\right\rangle \\
& \int\left[\mathrm{d}^{3} \phi^{*} \mathrm{~d}^{3} \phi^{+} \mathrm{d}^{3} \phi^{-}\right] \int\left[\mathrm{d}^{4} \phi_{A}\right]_{\phi^{+}}^{\phi^{*}} \phi_{A}\left(\eta_{1}, x_{1}\right) \exp \left(\mathrm{i} S\left[\phi_{A}\right]\right) \\
& \times \exp (-\mathcal{S}) \\
& \times \int\left[\mathrm{d}^{4} \phi_{B}\right]_{\phi_{-}}^{\phi^{*}} \phi_{B}\left(\eta_{2}, x_{2}\right) \exp \left(-\mathrm{i} S\left[\phi_{B}\right]\right)
\end{aligned}
$$

We can merge these into one integral over all 4d fields ending in $\phi^{*}$

$$
\int\left[\mathrm{d}^{4} \phi_{A}\right]_{\text {unrestricted }}^{\phi_{*}} \int\left[\mathrm{~d}^{4} \phi_{B}\right]_{\text {unrestricted }}^{\phi_{*}}
$$

We can merge these into unrestricted integrals $+\delta$-function

$$
\int\left[\mathrm{d}^{4} \phi_{A} \mathrm{~d}^{4} \phi_{B}\right] \delta\left[\phi_{A}\left(\eta_{*}, x\right)-\phi_{B}\left(\eta_{*}, x\right)\right] \longleftarrow \text { for all } x
$$

## After all that, we have

$$
\begin{aligned}
\int\left[\mathrm{d}^{4} \phi_{A} \mathrm{~d}^{4} \phi_{B}\right] \delta\left[\phi_{A}\left(\eta_{*}, x\right)-\phi_{B}\left(\eta_{*}, x\right)\right] & \phi_{A}\left(\eta_{1}, x_{1}\right) \phi_{B}\left(\eta_{2}, x_{2}\right) \\
& \times \exp \left(\mathrm{i} S\left[\phi_{A}\right]-\mathrm{i} S\left[\phi_{B}\right]-\mathcal{S}\right)
\end{aligned}
$$

The $\delta$-function can be implemented using

$$
\delta\left[\phi_{A}\left(\eta_{*}, x\right)-\phi_{B}\left(\eta_{*}, x\right] \propto \lim _{\epsilon \rightarrow 0} \exp \left[-\frac{1}{\epsilon} \int \mathrm{~d}^{3} x a^{3}\left(\phi_{A}\left(\eta_{*}, x\right)-\phi_{B}\left(\eta_{*}, x\right)\right)^{2}\right]\right.
$$

After all that, we have

$$
\begin{aligned}
\int\left[\mathrm{d}^{4} \phi_{A} \mathrm{~d}^{4} \phi_{B}\right] \delta\left[\phi_{A}\left(\eta_{*}, x\right)-\phi_{B}\left(\eta_{*}, x\right)\right] & \phi_{A}\left(\eta_{1}, x_{1}\right) \phi_{B}\left(\eta_{2}, x_{2}\right) \\
& \times \exp \left(\mathrm{i} S\left[\phi_{A}\right]-\mathrm{i} S\left[\phi_{B}\right]-\mathcal{S}\right)
\end{aligned}
$$

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$$

Collecting all terms in the exponential, we have an "effective action" (note that the singular terms have no factor of i )

$$
\exp \left\{\mathrm{i} S\left[\phi_{A}\right]-\mathrm{i} S\left[\phi_{B}\right]+\delta\left(\eta-\eta_{0}\right) \times(\mathcal{S} \text { terms })+\delta\left(\eta-\eta_{*}\right) \times(\delta \text {-fn terms })\right\}
$$

initial conditions
fields share the same final state

After all that, we have

$$
\begin{aligned}
\int\left[\mathrm{d}^{4} \phi_{A} \mathrm{~d}^{4} \phi_{B}\right] \delta\left[\phi_{A}\left(\eta_{*}, x\right)-\phi_{B}\left(\eta_{*}, x\right)\right] & \phi_{A}\left(\eta_{1}, x_{1}\right) \phi_{B}\left(\eta_{2}, x_{2}\right) \\
& \times \exp \left(\mathrm{i} S\left[\phi_{A}\right]-\mathrm{i} S\left[\phi_{B}\right]-\mathcal{S}\right)
\end{aligned}
$$

The $\delta$-function can be implemented using

$$
\delta\left[\phi_{A}\left(\eta_{*}, x\right)-\phi_{B}\left(\eta_{*}, x\right] \propto \lim _{\epsilon \rightarrow 0} \exp \left[-\frac{1}{\epsilon} \int \mathrm{~d}^{3} x a^{3}\left(\phi_{A}\left(\eta_{*}, x\right)-\phi_{B}\left(\eta_{*}, x\right)\right)^{2}\right]\right.
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\exp \left\{\mathrm{i} S\left[\phi_{A}\right]-\mathrm{i} S\left[\phi_{B}\right]+\delta\left(\eta-\eta_{0}\right) \times(\mathcal{S} \text { terms })+\delta\left(\eta-\eta_{*}\right) \times(\delta \text {-fn terms })\right\}
$$


initial conditions
(remember we said this could be represented as a Euclidean path integral)

To simplify the notation, it is helpful to consolidate the + and fields into a single integral over a contour.

We also relabel $A \rightarrow+$ and $B \rightarrow-$

"Kadanoff-Baym" contour

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"Kadanoff-Baym" contour

The S-terms and the $\delta$-function terms give boundary conditions

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If we send $\eta_{0} \rightarrow-\infty$, we get Schwinger's theory

If we send $\beta \rightarrow \infty$, we get the Gell-Mann / Low theorem.
This says we pick out the lowest energy state, ie., the true vacuum

