### Flatness Problem

Recall (with  $\Lambda = 0$ ):

$$rac{k}{R^2}=H^2(\Omega-1)$$

Divide by T<sup>2</sup> and evaluate today:

$$\hat{k} = rac{k}{R_0^2 T_0^2} = H_0^2 (\Omega_0 - 1) / T_0^2 < 2 \ge 10^{-58}$$

Represents an initial condition on the Universe

Horizon Problem

Causal volume V ~  $t^3$ but the Universe expands as  $t^{2/3}$  (matter dominated)

Today's visible Universe contains (for t at recombination)

$$(rac{t_0}{t})^3 (rac{R}{R_0})^3 = (rac{t_0}{t}) \sim 10^5$$

different causal horizon volumes.

Why is

$$rac{\Delta T}{T} \sim 10^{-5}$$

Perturbations Problem

Perturbations appear to have been produced outside our horizon.



Monopole Problem

The break-down of a GUT such as SU(5) to the SM with an explicit U(1) leads to the production of magnetic monopoles

The density of monopoles estimated by 1 per horizon volume at the time of the transition

$$n_m \sim (2t_c)^{-3}$$

with

SO

 $t_c \sim 10^{-2} M_P/T_c^2$  .

$$rac{n_m}{n_\gamma} \sim (rac{10 T_c}{M_P})^3$$

limit:

$$rac{n_m}{n_\gamma} < O(10^{-25})$$

- Standard cosmology assumes an adiabatically expanding Universe,  $R \sim 1/T$
- Phase transitions can violate this condition

### Phase Transitions

- Expect several phase transitions in the Early Universe
  - GUTS: SU5  $\rightarrow$  SU(3) x SU(2) x U(1)
  - SM: SU(2) x U(1)  $\rightarrow$  U(1)
  - possibly other non-gauged symmetry breakings
- Entropy production common result
- Type of inflation will depend on the order of the phase transition

Consider simple theory with a scalar field

$$\mathcal{L} = -\frac{1}{2} (\partial_{\mu} \phi)^2 - V(\phi)$$

with potential

$$V(\phi) = \frac{1}{4}\lambda\phi^4 - \frac{1}{2}\mu^2\phi^2 + \hat{V}$$

and  $\hat{V}$  is a constant to cancel the cosmological constant

and add 1-loop thermal corrections:

 $V(\phi) = V_0(\phi) + \frac{T}{2\pi^2} \int k^2 dk \ln\left(1 - exp\left[(k^2 + \partial^2 V_0/\partial\phi^2)/T^2\right]\right)$ 

in the range  $T \gg m_{\phi}$ 

$$V(\phi) = V_0(\phi) + \frac{1}{24} \frac{\partial^2 V_0}{\partial \phi^2} T^2 - \frac{\pi^2}{90} T^4$$
  
=  $\frac{1}{4} \lambda \phi^4 - \frac{1}{2} (\mu^2 - \frac{1}{4} \lambda T^2) \phi^2 - \frac{1}{24} \mu^2 T^2 - \frac{\pi^2}{90} T^4 + \hat{V}$ 

Notice the effective mass,

$$m_{\phi}^2 = \frac{1}{4}\lambda T^2 - \mu^2$$

and critical temperature

$$T_c = 2\mu/\sqrt{\lambda}$$



More possibilities with a local symmetry

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - (\partial_{\mu} + ieA_{\mu})\phi^* (\partial^{\mu} - ieA^{\mu})\phi - V(\phi)$$
$$V(\phi) = \lambda(\phi\phi^*)^2 - \mu^2\phi\phi^* + \hat{V}$$

or

$$V(\eta) = \frac{1}{4}\lambda\eta^4 - \frac{1}{2}\left(\mu^2 - \frac{1}{12}(4\lambda + 3e^2)T^2\right)\eta^2 + \hat{V'}$$

after  $\phi \to \eta e^{i\xi}/\sqrt{2}$  (and a gauge transformation)



- Standard cosmology assumes an adiabatically expanding Universe, R ~ 1/T
- Phase transitions can violate this condition

# Old Inflation

• Based on GUT symmetry breaking with a strong 1st order transition



- Standard cosmology assumes an adiabatically expanding Universe, R ~ 1/T
- Phase transitions can violate this condition

# Old Inflation

- Based on GUT symmetry breaking with a strong 1st order transition
- Universe becomes trapped in false vacuum
- Vacuum energy density act as a cosmological constant
- Transition proceeds by tunneling and bubble formation

 $\Lambda = 8 \pi G_{\rm N} V_0$ 

For  $\varrho \ll V_0$ ,

$$egin{aligned} H^2 &= rac{\dot{R}^2}{R^2} pprox rac{8\pi G_N V_0}{3} = rac{\Lambda}{3} \ rac{\dot{R}}{R} pprox \sqrt{rac{\Lambda}{3}} ; & R \sim e^{Ht} \end{aligned}$$

or

For  $H\tau > 65$ , curvature problem solved

When the transition is over, the Universe reheats to  $T < V_0^{1/4} \sim T_i$ , but  $R >> R_i$ 

Problem: Transition never completes

New Inflation

GUT transition a la Coleman-Weinberg

$$V(\phi) = A\phi^4 \left(\ln\frac{\phi^2}{v^2} - \frac{1}{2}\right) + D\phi^2 + \hat{V}$$
$$A = \frac{1}{64\pi^2 v^4} \left(\sum_B g_B m_B^4 - \sum_F g_F m_F^4\right) = \frac{5625g^4}{1025\pi^2}$$

# New Inflation



## Scalar Field Dynamics

For our simple scalar field model,

$$\mathcal{L} = -\frac{1}{2} (\partial_{\mu} \phi)^2 - V(\phi)$$

Equation of motion:

$$\left(rac{\partial \mathcal{L}}{\partial \partial_{\mu}\phi}
ight)_{;\mu} - rac{\partial \mathcal{L}}{\partial \phi} = 0$$

or

$$-g^{\mu\nu} \left(\partial_{\nu}\phi\right)_{;\mu} + \frac{\partial V}{\partial\phi} = 0$$
$$-g^{\mu\nu} \partial_{\mu} \partial_{\nu} \phi + g^{\mu\nu} \Gamma^{\lambda}_{\mu\nu} \partial_{\lambda} \phi + \frac{\partial V}{\partial\phi} = 0$$
$$\ddot{\phi} + \frac{1}{R^{2}} \nabla^{2} \phi + 3H\dot{\phi} + \frac{\partial V}{\partial\phi} = 0$$

Scalar Field Dynamics

Equations of motion

$$\ddot{\phi} + 3H\dot{\phi} + rac{\partial V}{\partial \phi} \simeq \ddot{\phi} + 3H\dot{\phi} + m^2(\phi)\phi = 0$$

For  $|m^2| \ll H^2$ 

 $\phi \sim e^{|m^2|t/3H}$ 

Field moves very little for a period

 $au \sim 3 H/|m^2|$ 

during which:

$$H\tau \sim \frac{H^2}{|m^2|} \sim \frac{v^4}{M_P^2|m^2|}$$

Plenty of inflation possible!

Late time evolution

$$\phi \sim rac{v}{mt} \sin mt$$

Reheating through particle decay

 $T_R \sim (\Gamma_D M_P)^{1/2}$ 

for  $\Gamma_D < H_I$ 

### **Density Fluctuations**

During the slow-roll, density fluctuations are produced

$$rac{\delta
ho}{
ho} = 4H\delta au = rac{H^2}{\pi^{3/2}\dot{\phi}} = (rac{8\lambda}{3\pi^2})^{1/2}\ln^{3/2}(Hk^{-1})$$

Kill models of new inflation based on SU(5) symmetry breaking

# $\Rightarrow$ Inception of the Inflaton

Many new models of inflation become possible

• Primordial, chaotic, hybrid, natural, R2, eternal, stochastic, power-law, KK, assisted, .....

Generic Inflation

$$V(\phi) = \mu^4 V(\phi/M_P)$$

Density fluctuations are roughly:

$$\frac{\delta\rho}{\rho} \sim \mathcal{O}(100) \frac{\mu^2}{M_P^2}$$

which can be used to fix  $\mu$ 

$$\frac{\mu^2}{M_P^2} \sim few \times 10^{-8}$$

which in turns determines the Hubble parameter during inflation, the duration of inflation, and the reheat temperature.

# Chaotic Inflation

Very simple potentials of the form:

$$V(\phi) = m^2 \phi^2$$
 or  $V(\phi) = \lambda \phi^4$ 

# **Chaotic Inflation**



# Chaotic Inflation

Very simple potentials of the form:

$$V(\phi) = m^2 \phi^2$$
 or  $V(\phi) = \lambda \phi^4$   
 $\epsilon = 1/120$   $\epsilon = 1/60$   
 $\eta = 1/120$   $\eta = 1/40$   
 $n = .97$   $n = .95$   
 $r = .13$   $r = .27$ 

### WMAP constraints on inflationary models



Fig. 10.— Two-dimensional marginalized constraints (68% and 95% confidence levels) on inflationary parameters r, the tensor-to-scalar ratio, and  $n_s$ , the spectral index of fluctuations, defined at  $k_0 = 0.002/Mpc$ . One-dimensional 95% upper limits on r are given in the legend. Left: The five-year WMAP data places stronger limits on r (shown in blue) than three-year data (grey). This excludes some inflationary models including  $\lambda \phi^4$  monomial inflaton models with  $r \sim 0.27$ ,  $n_s \sim 0.95$  for 60 e-folds of inflation. Right: For models with a possible running spectral index, r is now more tightly constrained due to measurements of the third acoustic peak. Note: the two-dimensional 95% limits correspond to  $\Delta(2 \ln L) \sim 6$ , so the curves intersect the r = 0 line at the  $\sim 2.5\sigma$  limits of the marginalized  $n_s$  distribution.

### From WMAP7



FIG. 19.— Two-dimensional joint marginalized constraint (68% and 95% CL) on the primordial tilt,  $n_s$ , and the tensor-to-scalar ratio, r, derived from the data combination of  $WMAP+BAO+H_0$ . The symbols show the predictions from "chaotic" inflation models whose potential is given by  $V(\phi) \propto \phi^{\alpha}$  (Linde 1983), with  $\alpha = 4$  (solid) and  $\alpha = 2$  (dashed) for single-field models, and  $\alpha = 2$  for multi-axion field models with  $\beta = 1/2$  (dotted; Easther & McAllister 2006).

# Anti-matter in the Universe

- On Earth?
- On the Moon?
- In the Solar System?
- In the Galaxy?
  - -in cosmic rays antimatter is secondary
  - -antiHelium never observed

$$\bar{He} = \bar{p}\bar{p}\bar{n}\bar{n}$$

• Anywhere?

# Baryogenesis The Baryon asymmetry

- Goal: To calculate  $\eta$  from microphysics
- Problem: In baryon symmetric universe the baryon density is determined by freeze-out of annihilations

	$\frac{n_B}{m_B} = \frac{n_{ar{B}}}{m_B}$
	$n_\gamma \ \ \ n_\gamma$
For $T \gg m_N$ ,	$rac{n_B}{n_\gamma} \sim O(1)$

For  $T < m_N$ ,

$$rac{n_B}{n_\gamma} \sim (rac{m_N}{T})^{3/2} e^{-m_N/T}$$

# Baryogenesis The Baryon asymmetry

Compute Freeze-out

Annihilations:
$$\sigma v \sim \frac{1}{m_{\pi}^2}$$
Rate: $\Gamma = n\sigma v \sim \frac{m_N^{3/2}T^{3/2}}{m_{\pi}^2}e^{-m_N/T}$ ompare to expansion rate: $H \sim \frac{T^2}{M_P}$ 

Freeze-out at  $T/m_N \sim 1/45$ 

$$rac{n_B}{n_\gamma} = rac{n_{ar B}}{n_\gamma} \sim 10^{-19}$$

C

### The Sakharov Conditions

To generate an asymmetry:

1.Baryon Number Violating Interactions2.C and CP Violation3.Departure from Thermal equilibrium

and 2. are contained in GUTs
 is obtained in an expanding Universe

### Grand Unified Theories

In SU(5), there are gauge (and Higgs) bosons which mediate baryon number violation. Eg.,



 $\Delta \mathbf{B} = + 1/3$ 

 $\Delta B = -2/3$ 

Out-of-equilibrium decay

Decay rate:

 $\Gamma\simeq lpha M_X$ 

But decays occur only when  $\Gamma > H$ 

 $lpha M_X > N(T)^{1/2}T^2/M_P$ 

or $T^2 < lpha M_X M_P N(T)^{-1/2}.$ 

Out-of-equilibrium if  $\Gamma < H$  at  $T \sim M_X$ 

Require  $M_X > \alpha M_P(N(M_X))^{-1/2}$ 

### Grand Unified Theories

In SU(5), there are gauge (and Higgs) bosons which mediate baryon number violation. Eg.,



 $\Delta \mathbf{B} = + 1/3$ 

 $\Delta \mathbf{B} = -2/3$ 

# Out-of-equilibrium decay

#### Denote

Under CPT :
$$\Gamma(X \to 1 \uparrow) = \Gamma(\bar{1} \downarrow \to \bar{X})$$
Under CP : $\Gamma(X \to 1 \uparrow) = \Gamma(\bar{X} \to \bar{1} \downarrow)$ Under C : $\Gamma(X \to 1 \uparrow) = \Gamma(\bar{X} \to \bar{1} \uparrow)$ 

### and let

$$r = \Gamma(X \to 1 \uparrow) + \Gamma(X \to 1 \downarrow)$$
  
$$\bar{r} = \Gamma(\bar{X} \to \bar{1} \uparrow) + \Gamma(\bar{X} \to \bar{1} \downarrow)$$

The total baryon asymmetry produced by a pair is:

$$\begin{split} \Delta B &= -\frac{2}{3}r + \frac{1}{3}(1-r) + \frac{2}{3}\bar{r} - \frac{1}{3}(1-\bar{r}) \\ &= \bar{r} - r = \Gamma(\bar{X} \to \bar{1}\uparrow) + \Gamma(\bar{X} \to \bar{1}\downarrow) - \Gamma(X \to 1\uparrow) - \Gamma(X \to 1\downarrow) \end{split}$$

The final asymmetry becomes:

$$\frac{n_B}{s} = \frac{(\Delta B)n_X}{s} \sim \frac{(\Delta B)n_X}{N(T)n_\gamma} \sim 10^{-2} (\Delta B)$$

where  $\Delta B = (\bar{r} - r)$ .

So what is  $\Delta B$ ?

 $\Delta B \sim \Gamma_X - \Gamma_{\bar{X}} \sim 2i \mathrm{Im} \Gamma_X$ 



and  $\Delta B = 0$ 

# Require something like:



 $\Delta B = 4 \mathrm{Im}(a^{\dagger} a' b b'^{\dagger})$ 

### Generation of an asymmetry



Fry et al.

#### Final asymmetry



Fry et al.

# Damping of initial asymmetries



Fry et al.

### Supersymmetry

### New baryon number violating operators



### Fast proton deacy!

 $\Gamma_p \sim \frac{h^4 g^4}{M_H^2 M_{\tilde{g}}^2} m_p^5$ 

# Affleck-Dine baryogenesis

### Utilize F- and D- flat directions

$$u_{3}^{c} = a \qquad s_{2}^{c} = a \qquad -u_{1} = v \qquad \mu^{-} = v \qquad b_{1}^{c} = e^{i\phi}\sqrt{v^{2} + a^{2}}$$

$$u_{1} \qquad \tilde{G} \qquad \mu^{-}$$

$$u_{3}^{c} \qquad \tilde{X} \qquad \tilde{S}^{c}_{2}$$

$$V(\phi) = \tilde{m}^{2}\phi\phi^{*} + \frac{1}{2}i\lambda(\phi^{4} - \phi^{*4})$$

### Leptogenesis

Consider extension to SM with right-handed neutrinos and a see-saw mechanism

Can generate a lepton asymmetry from out-of-equilibrium decays of N



Sphaleron interactions to convert lepton asymmetry to a baryon asymmetry

$$B = \frac{28}{79} \left( B - L \right)$$